



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>A61K 37/00, C07K 3/00, 13/00</b> <b>C07K 15/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 92/16221</b> <b>(43) International Publication Date:</b> 1 October 1992 (01.10.92)
<b>(21) International Application Number:</b> PCT/US92/02122 <b>(22) International Filing Date:</b> 13 March 1992 (13.03.92) <b>(30) Priority data:</b> 669,862                      15 March 1991 (15.03.91)      US 822,296                      17 January 1992 (17.01.92)      US <b>(71) Applicant:</b> SYNERGEN, INC. [US/US]; 1885 33rd Street, Boulder, CO 80301-2546 (US). <b>(72) Inventors:</b> THOMPSON, Robert, C. ; 1820 Lehigh, Boulder, CO 80303 (US). ARMES, Lyman, G. ; 2707 Valmont Road, #113A, Boulder, CO 80304 (US). EVANS, Ronald, J. ; 404 West Eisenhower, Louisville, CO 80027 (US). BREWER, Michael, T. ; 2236 Mapleton Avenue, Boulder, CO 80304 (US). KOHNO, Tadahiko ; 1557 Hays Court, Louisville, CO 80027 (US).		<b>(74) Agents:</b> SWANSON, Barry, J. et al.; 4582 S. Ulster St. Pkwy., #403, Denver, CO 80237 (US). <b>(81) Designated States:</b> AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CI (OAPI patent), CM (OAPI patent), CS, DE, DE (European patent), DK, DK (European patent), ES, ES (European patent), FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), GN (OAPI patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC (European patent), MG, ML (OAPI patent), MN, MR (OAPI patent), MW, NL, NL (European patent), NO, PL, RO, RU, SD, SE, SE (European patent), SN (OAPI patent), TD (OAPI patent), TG (OAPI patent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PEGYLATION OF POLYPEPTIDES  <b>(57) Abstract</b> <p>Compounds are disclosed having the general formula <math>R_1-X-R_2</math>, wherein <math>R_1</math> and <math>R_2</math> are biologically active groups, at least one of which is polypeptidic. X is a non-peptidic polymeric group. <math>R_1</math> and <math>R_2</math> may be the same or different. Preferred <math>R_1</math> and <math>R_2</math> groups are interleukin-1 receptor antagonist, 30kDa TNF inhibitor, interleukin-2 receptors and CR1 and muteins thereof. Also included are site selectively modified interleukin-1 receptor antagonist and 30kDa TNF inhibitor.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	MI	Mali
AU	Australia	FR	France	MN	Mongolia
BB	Barbados	GA	Gabon	MR	Mauritania
BE	Belgium	GB	United Kingdom	MW	Malawi
BF	Burkina Faso	GN	Guinea	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IE	Ireland	RO	Romania
CA	Canada	IT	Italy	RU	Russian Federation
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar		
ES	Spain				

PEGYLATION OF POLYPEPTIDESFIELD OF THE INVENTION

5           This invention relates to polypeptides that  
have been covalently bonded to long chain polymers such  
as methoxy polyethylene glycol. This invention also  
describes methods and reagents for the reaction of  
10           activated polymer molecules with various biologically-  
important polypeptides.

BACKGROUND OF THE INVENTION

15           Many proteins that have been identified and  
isolated from human and animal sources have been found  
to show promising medicinal or therapeutic potential.  
Great strides have been made in the methods for  
identifying and characterizing such proteins, in  
addition to methods for producing such proteins in  
relatively pure forms and relatively large quantities.  
20           As the development process advances in relation to the  
utilization of such potentially valuable materials,  
many obstacles have arisen in formulating these  
compounds for use in clinical models.

25           For example, many such proteins have been found  
to have an extremely short half life in the blood  
serum. For the most part, proteins are cleared from  
the serum through the kidneys. The systematic  
introduction of relatively large quantities of  
proteins, particularly those foreign to the human  
30           system, can give rise to immunogenic reactions that,  
among other problems, may lead to rapid removal of the  
protein from the body through formation of immune  
complexes. For other proteins, solubility and  
aggregation problems have also hindered the optimal  
35           formulation of the protein.

40           One of the most promising techniques for  
addressing these problems has been covalently bonding  
one or more inert polymer chains to the polypeptide of  
interest. The most commonly used polymer is  
polyethylene glycol (PEG), or monomethoxyl polyethylene

-2-

glycol (mPEG). See, for example, Davis et al.,  
Biomedical Polymers: Polymeric Materials and  
Pharmaceuticals for Biomedical Use, pp. 441-451 (1980).  
PEG is ideal for these purposes due to its proven non-  
5 toxic properties. Other researchers have utilized  
polyoxyethylated glycerol (POG) for similar purposes.  
Knauf et al., J. of Biolog. Chem. vol. 263, pg. 15064  
(1988).

Numerous results have been described whereby  
10 the covalent modification of proteins with polyethylene  
glycols ("pegylation") have resulted in the addition of  
desirable characteristics to the protein. For example,  
the pegylation of IL-2 has been shown to decrease the  
clearance of IL-2 while not significantly affecting the  
15 activity of the cytokine. The decreased clearance  
leads to an increased efficiency over the non-pegylated  
material. Katre et al., Proc. Natl. Acad. Sci. U.S.A.  
vol. 84, pg. 1487 (1987).

Increasing the half-life of Superoxide  
20 Dismutase (SOD) in blood serum has been a critical  
barrier for the use of SOD for the treatment of various  
symptoms. A number of studies have shown that the  
pegylation of SOD will give rise to a decreased  
clearance rate. See, for example, Conforti et al.,  
25 Pharm. Research Commun. vol. 19, pg. 287 (1987).

Aggregation of Immunoglobulin G (IgG) has been  
postulated as a factor that leads to serious side  
effects to patients that are intravenously administered  
IgG. It has been shown that the pegylation of IgG  
30 reduces the aggregation of the proteins to prevent this  
problem. Suzuki et al., Biochem. Biophys. Acta vol.  
788, pg. 248 (1984).

The ability of pegylation techniques to affect  
protein immunogenicity has also been shown. Abuchowski  
35 and coworkers have studied the immunogenicity and  
circulating life of pegylated Bovine Liver Catalase.  
Abuchowski et al., J. Biol. Chem. vol. 252, pg. 3582

-3-

(1977).

The addition of PEG groups to these various proteins decreases clearance due to the increase in molecular size of the pegylated protein. Up to a  
5 certain size, the rate of glomerular filtration of proteins is inversely proportional to the size of the protein. The ability of pegylation to decrease clearance, therefore, is generally not a function of how many PEG groups are attached to the protein, but  
10 the overall molecular weight of the altered protein. This has been borne out by clearance studies that varied both the size of the PEG side chains and the number of PEG units bonded to IL-2. Katre, supra.

The various studies of pegylated proteins in  
15 relation to clearance, immunogenicity, aggregation and physical properties all suggest that the PEG forms a flexible, hydrophilic shell around the protein. The PEG chains become highly hydrated and give the pegylated proteins a higher apparent molecular weight  
20 than would be predicted, and act to shield charges on the protein.

Because of the many promising results that have been seen in this field, a catalogue of procedures for the attachment of PEG units to polypeptides has been  
25 developed. The key element in these procedures is the "activation" of the terminal-OH group of the polyethylene glycol. Such activation is necessary in order to create a bond between the PEG group and the polypeptide. The vast majority of coupling procedures  
30 activate the PEG moiety in order to react with free primary amino groups of the polypeptides. Most of these free amines are found in the lysine amino acid residues.

In general practice, multiple PEG moieties are  
35 attached to the proteins. For example, in United States Patent No. 4,179,337 of Davis et al., it was found that to suppress immunogenicity it is desirable

SUBSTITUTE SHEET

-4-

to use between 15 and 50 moles of polymer per mole of polypeptide.

Because multiple PEG chains are generally bonded to each polypeptide, and because there are typically a large number of lysine residues in each protein, there has been little effort to pegylate proteins to yield homogenous reaction products. See, Goodson *et al.* *Biotechnology*, vol. 8, pg. 343 (1990); U.S. Patent No. 4,904,584 of Shaw. This lack of reaction specificity gives rise to a number of complications. Among these, are that pegylation often results in a significant loss of activity of the protein. Presumably, attachment to a critical lysine residue could alter the active site of the protein rendering it inactive.

It has been shown in at least one system, that pegylation can lead to sterically hindered active sites. In other words, relatively small substrates may approach the protein, while the activity of proteins that react with larger substrates can be dramatically effected by random pegylation. Davis *et al.* *supra*. The site selective pegylation of such proteins could lead to modified materials that gain the desirable attributes of pegylation without the loss of activity. In addition, if the pegylated protein is intended for therapeutic use, the multiple species mixture that results from the use of non-specific pegylation leads to difficulties in the preparation of a product with reproducible and characterizable properties. This makes it extremely difficult to evaluate therapeutics and to establish efficacy and dosing information.

In certain cases, it has been found that the administration of multimeric complexes that contain more than one biologically active polypeptide or drug can lead to synergistic benefits. For example, a complex containing two identical binding polypeptides may have substantially increased affinity to the ligand

SUBSTITUTE SHEET

-5-

or active site that it binds relative to the monomeric polypeptide. For this reason, multimeric complexes of proteins can be desirable in order to increase affinity of the protein to its ligand in addition to increasing the molecular weight of the complex.

Proteins frequently achieve their biological effects through interaction with other proteins. Where a simple complex of two proteins is sufficient to achieve the biological effect it has proved possible to mimic the physiological effects of endogenous proteins by administering exogenous proteins. However, where the biological effect requires the assembly of a complex containing more than two proteins it is more difficult to mimic the function of the endogenous proteins with recombinantly produced exogenous equivalents because the higher order complexes are frequently unstable. In such cases it may be advantageous to use crosslinked species containing two of the components of the complex to simulate the biologically-active complex.

Subsequent to the invention described herein, at least three research groups have described the production of crosslinked proteins, where the extracellular portions of one of the TNF receptors is attached to the heavy chain of human or mouse IgG, which are then crosslinked through disulfide bonds. Peppel *et al.*, J. Exp. Med. vol. 174, pg. 1483 (1991); Ashkenazi *et al.*, Proc. Natl. Acad. Sci. USA vol. 88, pg. 10535 (1991); and Loetscher *et al.*, J. Biol. Chem. vol. 266, pg. 18324 (1991). In each case, the proteins were expressed in animal cell expression systems, and were found to be substantially more effective at inhibiting TNF than the monomeric soluble receptor alone. Similar procedures have also been used for producing similar crosslinked proteins of the CD4 protein, (Byrn *et al.*, Nature (London) vol. 344, pg. 667 (1990)) the CR1 protein, (Kalli *et al.*, J. Exp.

SUBSTITUTE SHEET

-6-

Med. vol. 174, pg. 1451 (1991); Hebell et al., WO 91/16437 (1991)) and the CR2 protein. (Hebell et al., Science, vol. 254, pg. 102 (1991)).

5        These crosslinked proteins -- constructed of  
two polypeptide units and a portion of the IgG antibody  
-- have been shown to have promise as therapeutic  
agents. The crosslinked proteins have an increased  
molecular weight, which acts to decrease the rate of  
clearance of the complex from the body, in addition to  
10    the apparent enhancement of the affinity of the  
proteins to their ligand. However, the proteins  
crosslinked in this manner have so far only been  
prepared by expression in animal cell expression  
systems by the expression of fused genes. This has  
15    been required in order to have the IgG portion of the  
protein properly folded after expression. In addition,  
the fixed heavy chain portion of the IgG antibody that  
serves as the spacer or linker between the polypeptide  
units does not allow for the ability to vary the  
20    length, size or geometry of the spacer. Given the  
apparent synergistic effect achieved by the dimeric  
proteins, it is likely that by varying the spatial  
orientation of the polypeptides the synergistic benefit  
may be optimized. And finally, the crosslinked  
25    proteins may be antigenic and/or have decreased  
solubility. The heavy chain of antibodies is not  
biologically inert.

Other dimeric or "bivalent" complexes have been  
described. One such group of dimeric compounds has  
30    been labeled hirulogs. These compounds are comprised  
of very short polypeptide units that are linked by a  
short poly-glycine spacer or linker. One of the  
polypeptide units is a thrombin inhibitor -- a 5 amino  
acid sequence taken from the 65 amino acid protein  
Hirudin -- and the other is an anion-binding exocite  
35    (ABE) recognition inhibitor. See, Maragonore et al.,  
Biochemistry, vol. 29, pg. 7085 (1990); Bourdon et al.,

SUBSTITUTE SHEET



-7-

FEBS vol. 294, pg. 163 (1991).

C-reactive protein (CRP) is an acute phase serum prot in composed of five id ntical 23kDa subunits. CRP can induce reactions of precipitation and agglutination and can also react with Clg to activate the classical complement pathway. Cross linked oligomers of CRP have been formed using bis (sulphosuccinimidyl) suberate or 3,3'-dithio (sulphosuccinimidylpropionate) as cross-linking agents.

5

10 Jiang et al., Immunology vol. 74, pg. 725 (1991).

The formation of dimeric or bivalent ligands for targeting opoid receptors has also been investigated. Non-peptidic  $\beta$ -naltrexamine or oxymorphanine pharmacophores have been connected by short ethylene oxide or glycine spacers. Erez et al., J. Med. Chem. vol. 25, pg. 847 (1982); Portoghese et al., J. Med. Chem. vol. 29, pg. 1855 (1986). Tetrapeptide enkephalins linked by short methylene bridges have also been designed to target opoid receptors, and have been shown to have a greater selectivity and affinity for the delta receptor than the original delta ligand. Shimohigashi et al., Nature vol. 197, pg. 333 (1982).

15

20

The cell surface glycoprotein CD4 has also been produced in multimeric forms through a sugar-based cross-linking strategy. The cross-linking agent utilized was bismaleimidoexane (BMH). Chen et al., J. Biol. Chem. vol 266, pg. 18237 (1991).

25

Lymphocyte function-associated antigen-3 (LFA-3) is a widely distributed cell surface glycoprotein that is a ligand for the T lymphocyte CD2. LFA-3 with its associated lipids forms protein micelles of eight monomers which increased their ability to interact with cells with CD2 on their surface. Dustin et al., J. Exp. Med., vol. 169, pg. 503 (1989).

30

35

In a somewhat related technology, one group has studied the inhibitory effect of a synthetic

SUBSTITUTE SHEET

-8-

polypeptide that is comprised of a repeating pentapeptidyl unit. The polymer was synthesized by the polymerization procedure with diphenyl phosphoryl azide to a size of about 10,000 daltons. The polymerized pentapeptide is one of the essential structures in several biological responses. Morata et al., Inst. J. Biol. Macromol. vol. 11, pg. 97 (1989).

A further obstacle in developing effective exogenous proteins to augment or compete with endogenous substances is that exogenous proteins must be administered systematically rather than being localized in the appropriate place. This can lead to lower efficacy and to increased side effects. Several groups have reported targeting bioactive proteins to the appropriate sites by linking them to other proteins that naturally home on those sites. Often such linkages are made through gene fusions between the active and the targeting proteins.

Polyethylene glycol spacer or linker units have been used to create antibody targeted superantigens after the date of the instant invention. A monoclonal antibody reactive to colon carcinoma cells was attached to the bacterial superantigen staphylococcal enterotoxin. Rather than being designed to exploit the benefits associated with the other bivalent complexes (e.g., higher molecular weight; synergistic effects of bivalency) these complexes are designed to target superantigens to specific locations. The pegylation process described to form these targeted superantigens creates a complex containing a large mixture of materials. The coupling of the antibody and the superantigen was accomplished by the use of N-succinimidyl 3-(2-pyridyldithio) proprionate and a 24-atom-long PEG-based hydrophilic space. According to this procedure 7 to 18 spacers were attached to each antibody unit and one or two lysines on each of the super antigens were reacted. Dohlsten et al., Proc.

-9-

Natl. Acad. Sci. USA vol. 88, pg. 9287 (October, 1991).  
Using this procedure it would be impossible to isolate  
a single species in order to optimize the product or  
process.

5           Two groups of proteinaceous materials having  
significant applications for the treatment of a wide  
variety of medical indications are Tumor Necrosis  
Factor (TNF) inhibitors and Interleukin-1 receptor  
10 antagonists (Il-1ra). These materials have been shown  
to have beneficial effects in the treatment of TNF and  
IL-1 mediated diseases respectively. Among the  
indications that have been identified as being either  
TNF mediated or IL-1 mediated, are Adult Respiratory  
15 Distress Syndrome, Pulmonary Fibrosis, Rheumatoid  
Arthritis, Inflammatory Bowel Disease and Septic Shock.

Copending U.S. Patent Application Serial No.  
555,274, filed July 19, 1990, specifically incorporated  
herein by reference, describes a class of naturally  
occurring proteinaceous TNF inhibitors and a method for  
20 manufacturing a substantial quantity of the same with a  
high degree of purity. In particular, the  
aforementioned application describes in detail two  
subsets of TNF inhibitors referred to as 30kDa TNF  
inhibitor and 40kDa TNF inhibitor. In addition to the  
25 full-length 40 kDa TNF inhibitor protein, two  
truncated, yet biologically-active, forms of the 40 kDa  
TNF inhibitor have also been produced. These proteins,  
in which 51 and 53 carboxyl termini amino acids have  
been removed from the full-length protein, are referred  
30 to respectively as 40 kDa TNF inhibitor  $\Delta$ 51 and 40 kDa  
TNF inhibitor  $\Delta$ 53.

Copending U.S. patent application Serial No.  
07/506,522, filed April 6, 1990, specifically  
incorporated herein by reference, describes a preferred  
35 class of naturally occurring, proteinaceous Il-1  
inhibitors and a method for manufacturing a substantial  
quantity of the same with a high degree of purity. In

SUBSTITUTE SHEET

-10-

particular, the application describes in detail three such interleukin-1 inhibitors which are interleukin-1 receptor antagonists (IL-1ra's), namely, IL-1ra $\alpha$ , IL-1ra $\beta$ , and IL-1rax.

5 Two additional classes of materials that are potentially useful for the treatment of a variety of medical indications are interleukin-2 inhibitors and complement inhibitors. Potential inhibitors of interleukin-2 include interleukin-2 receptors, the  
10 extracellular portion of interleukin-2 receptors, interleukin-2 receptor antagonists, antibodies that recognize interleukin-2, and fragments of any of such species that contain the IL-2 binding function. Potential inhibitors of the complement system include  
15 the receptor CR1, the extracellular portion of CR1, and the fragment of CR1 that contains the complement binding function.

Interleukin-2 receptor has been described and methods for its isolation have been disclosed in U.S. Patent No. 4,578,335 of Urdal et al. and U.S. Patent  
20 No. 4,816,565 of Honjo et al. The gene encoding Interleukin-2 receptor and methods for its recombinant production have also been disclosed. European Patent Application No. 89104023.0 of Taniguchi et al.;  
25 European Patent Application No. 90104246.6 of Taniguchi et al. See also, Honjo et al., Nature vol. 311, pg. 631 (1984); Taniguchi et al., Science vol. 244, pg. 551 (1989).

It could be assumed that to some extent the  
30 soluble extracellular domain of either interleukin-2 receptor will act as an inhibitor to the action of the cytokine interleukin-2. Interleukin-2 is one of the best characterized cytokines, known to play a pivotal role in the antigen-specific clonal proliferation of T  
35 lymphocytes. Interleukin-2 has also been shown to act on a variety of other cells in the immune system.

There are three discrete forms of the

SUBSTITUTE SHEET

-11-

interleukin-2 receptor, comprised of two distinct receptor molecules designated either as IL-2 $\alpha$  and IL2r $\beta$ .

5 The highest affinity IL-2 receptor is composed of two distinct IL-2 receptors. Both of these receptors have been cloned and characterized. The low affinity IL-2 receptor (IL-2 $\alpha$ ) was cloned in 1984 and has been well characterized. Nikaido et al., Nature vol. 311, pg. 631 (1984). The extracellular domain of  
10 the molecule has a molecular weight of 24,825 and has two N-linked glycosylation sites. The molecule contains 11 cysteines, 10 of which are involved in intramolecular disulfide bonds. The putative IL-2 binding domains on the molecule have been mapped both  
15 by mutagenesis and epitope mapping. The intermediate affinity IL-2 receptor (IL-2r $\beta$ ) was cloned in 1989 and has not been as completely characterized as IL-2 $\alpha$ . Hatakayama et al., Science vol. 244, pg. 551 (1989). The extracellular domain of IL-2r $\beta$  has a  
20 molecular weight of 24,693. The molecule contains 8 cysteines and 4 N-linked glycosylation sites. The disulfide bonding in the molecule is unknown. IL-2r $\beta$  has a cytoplasmic domain of 286 amino acids.

The disassociation constants (Kd's) for the IL-  
25 2 receptors have been determined. They are 10<sup>-8</sup>M for IL-2 $\alpha$ , 10<sup>-9</sup>M for IL-2r $\beta$  and 10<sup>-11</sup>M for the high affinity receptor which consists of a complex of IL-2 $\alpha$ , IL-2r $\beta$  and IL-2. Current models indicate that the formation of the high affinity complex is formed first by IL-2  
30 binding to IL-2 $\alpha$  and then to IL-2r $\beta$ . Ogura et al., Mol. Biol. Med. vol. 5, pg. 123 (1988).

An inhibitor of IL-2 may be valuable in the prevention of transplant rejection as well as  
autoimmune disorders. Currently, a monoclonal antibody  
35 against IL-2 $\alpha$  that prevents IL-2 binding is being tested in human renal transplantation. Hiesse et al., La Presse Medicale vol. 20, pg. 2036 (1991). In a

SUBSTITUTE SHEET

-12-

study of 15 patients, the antibody, in combination with immunosuppressants, has been shown to be as effective in preventing allograft rejection as a control group getting higher doses of immunosuppressants. High  
5 levels of circulating soluble IL-2 $\alpha$  have been detected in a number of diseases, some infections, as well as transplantation and rejection. This suggests involvement of IL-2 in these diseases.

CR1 is a protein also referred to as the  
10 C3b/C4b receptor. CR1 is present on erythrocytes and a variety of other cell types, and specifically binds C3b, C4b, and iC3b. CR1 can also inhibit the classical and alternate pathway C3/C5 convertases and act as a cofactor for the cleavage of C3b and C4b by factor 1.  
15 Fearon et al., Proc. Natl. Acad. Sci. USA vol. 75, pg. 5867 (1979). CR1 is a glycoprotein composed of a single polypeptide chain, and there are four allotypic forms. It is known that CR1 contains repetitive coding sequences, and this fact is used to explain the  
20 existence of multiple allotypes. Krickstein et al. Complement vol. 2, pg. 44 (Abst.) (1985).

The diminished expression of CR1 on erythrocytes has been associated with systemic lupus erythematosus and CR1 number has also been found to correlate  
25 inversely with serum level of immune complexes. The CR1 protein, the CR1 gene and methods for the production of CR1 are described in WO 91/05047 and WO 89/09220 of Fearon et al. As described above, dimeric species containing CR1 and portions of an antibody have  
30 also been disclosed. WO 91/16437 of Hebell et al.

#### SUMMARY OF THE INVENTION

This invention relates to a method for  
modifying polypeptides and the resulting modified  
35 polypeptides.

This invention includes substantially purified compounds comprised of the formula  $R_1-X-R_2$  wherein  $R_1$

-13-

and  $R_2$  are biologically active groups and X is a non-peptidic polymeric spacer.  $R_1$  and  $R_2$  may be the same or different groups, and at least one of the  $R_1$  and  $R_2$  is polypeptidic. In the preferred embodiments,  $R_1$  and  $R_2$  are selected from the group consisting of interleukin-1 receptor antagonist; 30kDa tumor necrosis factor inhibitor; interleukin-2 receptor and CRI, and X is selected from the group consisting of polyethylene glycol, polyoxyethylated glycerol, dextran, colonic acids, poly  $\beta$ -amino acids and carbohydrate polymers. Also included are pharmaceutical compositions comprised of such substantially purified compounds in a pharmaceutically acceptable carrier. Further included are methods of treating patients in need thereof with such pharmaceutical compositions. The compounds of the formula  $R_1$ -X- $R_2$ , as depicted in Figure 19, are referred to as "dumbbells".

This invention also includes a method for the preparation of substantially purified therapeutically valuable compounds comprised of the formula  $R_1$ -X- $R_2$  comprising reacting a non-peptidic polymeric group having at least two reactive groups capable of forming covalent bonds with the biologically active group  $R_1$ ; and isolating said compound.

In an alternate embodiment, this invention includes a method for the preparation of substantially purified therapeutically valuable compounds, comprised of the formula  $R_1$ -X- $R_2$ , wherein  $R_1$  and  $R_2$  are different, comprised of: reacting a non-peptidic polymeric group capable of forming covalent bonds when reacted with the biologically active group  $R_1$  to form a complex  $R_1$ -X; reacting complex  $R_1$ -X with the biologically active group  $R_2$  to form said compound; and isolating and purifying said compound.

In one embodiment, this invention relates to the site-specific pegylation of TNF inhibitor and IL-1 inhibitor proteins.

SUBSTITUTE SHEET

-14-

In order to maintain site-specificity of  
pegylation, pegylating reagents are selected that will  
react almost exclusively with the free -SH groups of  
cysteine residues of the polypeptides. An example of a  
5 pegylation reagent that covalently binds almost  
exclusively to the -SH groups of cysteine is 0-(2-  
maleimido ethyl)-0' methlypolyethylene glycol.

Site specific pegylation may be done at either  
naturally occurring "free" cysteine residues of a given  
10 polypeptide, or at free cysteines contained on muteins  
of the naturally-occurring polypeptides. Cysteines may  
either be added to or inserted into the amino acid  
sequence of the naturally occurring polypeptide, or  
substituted for other amino acid residues at selected  
15 locations.

In one embodiment of this invention, the  
polypeptides that are to be pegylated are produced via  
recombinant DNA technology from a bacterial host cell.  
In most cases the bacterially expressed polypeptide  
20 must be refolded to obtain biological activity prior to  
the pegylation step. In certain applications of this  
invention, the native polypeptide does not contain any  
free cysteine residues, but an altered polypeptide is  
produced to contain at least one free cysteine in the  
25 biologically active polypeptide. According to this  
method, the refolding of the bacterially expressed  
polypeptide is facilitated by the addition, in turn, of  
a sulfhydryl containing compound such as cysteine and a  
disulfide containing compound such as cystine. After  
30 refolding and purification, the polypeptide is treated  
with a limited amount of a mild reducing agent such as  
dithiothreitol ("DTT") to regenerate the sulfhydryl  
group of the novel cysteine residue of the altered  
polypeptide. Following dialysis under conditions  
35 designed to prevent oxidation, the polypeptide may be  
reacted with a cysteine specific pegylation agent to  
site specifically form a covalently modified

SUBSTITUTE SHEET



-15-

polypeptide.

Preferred pegylated polypeptides of the present invention are site-specifically pegylated TNF-inhibitors and IL-1 inhibitors. More specifically, this invention describes pegylated 30kDa TNF inhibitor and pegylated IL-1 receptor antagonist. Most preferred pegylated TNF inhibitors include 30kDa TNF inhibitor wherein the asparagine amino acid residue at position 105 of the native human protein is changed to cysteine using in vitro mutagenesis and pegylation has occurred at the free cysteine at position 105. Other pegylated derivatives of mutated 30kDa TNF inhibitors include mutations where cysteine has been added at positions 1, 14, 111 and 161. In addition to the singly pegylated muteins, any and all combinations of the various mutations may be included within a single mutein to create altered 30kDa TNF with more than one free cysteine residue capable of being pegylated.

The most preferred pegylated IL-1ra includes native or naturally occurring IL-1ra, which includes four free cysteines. Mono pegylation of the native IL-1ra yields site-specific pegylation at cysteine position 116. Other pegylated derivatives of mutated IL-1ra include muteins having cysteine added at the amino terminus of the polypeptide, cysteine added at positions 6, 8, 9, 84, or 141, and the replacement of the cysteine at position 116 with serine. In addition to the singly pegylated muteins, any and all combinations of the various mutations may be included to create altered IL-1ra with more than one free cysteine capable of being pegylated.

Other aspects and advantages of the present invention will be apparent upon consideration of the following detailed description of the invention, including illustrative examples of the practice of the invention.

SUBSTITUTE SHEET

-16-

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts the amino acid sequence of native IL-1ra.

5 Figure 2 depicts the amino acid sequence of native 30kDa TNF inhibitor.

Figure 3 shows the Coomassie SDS-PAGE of unpegylated and pegylated forms of IL-1ra and the mutein c84s116 IL-1ra. Lanes 2, 3, 5 and 6 contain pegylation reaction mixes. Lanes 1 and 4 are the  
10 unmodified proteins:

Lane 1 - IL-1ra

Lane 2 - mPEG<sup>\*</sup><sub>5000</sub> IL-1ra

Lane 3 - mPEG<sup>\*</sup><sub>8500</sub> IL-1ra

Lane 4 - c84s116 IL-1ra

15 Lane 5 - mPEG<sup>\*</sup><sub>5000</sub> c84s116 IL-1ra

Lane 6 - mPEG<sup>\*</sup><sub>8500</sub> c84s116 IL-1ra

Figure 4 depicts the mono S ion exchange chromatography of: Chromatogram A, the pegylation reaction mixture of mPEG<sup>\*</sup><sub>5000</sub> IL-1ra, peak 1 is the  
20 modified and peak 2 is the unmodified IL-1ra; and Chromatogram B, shows the purified mPEG<sup>\*</sup><sub>5000</sub> IL-1ra.

Figure 5 depicts a size exclusion chromatogram showing the elution profile of several size standards, and mPEG<sup>\*</sup><sub>8500</sub> IL-1ra (fraction 7) and IL-1ra (fraction  
25 13).

Figure 6 depicts the reverse phase HPLC fractionation of tryptic digest of alkylated mPEG<sup>\*</sup><sub>5000</sub> IL-1ra reacted with tritiated iodoacetic acid to label free cysteines. Separation was performed on a Brownlee  
30 C8 (2.1 x 220mm) column at ambient temperature and a flow rate of 1000 $\mu$ L/min with a linear gradient. Solvent A was 0.1% TFA in water and solvent B was 0.085% TFA in 80% acetonitrile and 20% H<sub>2</sub>O.

Figure 7 depicts the reverse phase HPLC  
35 fractionation of chymotryptic digest of peptide 18 in figure 6. Conditions were identical to those in Figure 6. Peptides 5 and 8 contained tritium counts and

SUBSTITUTE SHEET

-17-

peptide 5 had the amino acid sequence LCTAMEADQPVSL. The cysteine was identified as the carboxymethylcysteine derivative. This cycle was the only one containing counts above background. The amino acid sequence of peptide 8 began with serine 103 of IL-1ra. Redigestion of this peptide with chymotrypsin permitted fractionation of all tritium counts from the peptide.

Figure 8 depicts the plasma IL-1ra concentration versus time profiles of mature IL-1ra, pegylated IL-1ra, and several pegylated muteins of IL-1ra.

Figure 9 shows the SDS-PAGE gel showing c105 30kDa TNF inhibitor and mPEG, and the separation of unreacted 30kDa TNF inhibitor from mPEG c105 30kDa TNF inhibitor by size exclusion chromatography.

Figure 10 shows a plot containing intravenous plasma IL-1ra concentration versus time curves for a large number of singly PEGylated IL-1ra species, doubly PEGylated IL-1ra species, and IL-1ra PEG dumbbell species.

Figure 11 shows a plot containing subcutaneous plasma IL-1ra concentration versus time curves for a number of IL-1ra species as in Figure 10.

Figure 12 shows a plot of plasma IL-6 levels versus time after the injection of mice with hrTNF.

Figure 13 compares IL-6 levels induced in mice by five ratios of c105 30kDa TNF inhibitor to TNF (A) and five ratios of c105 30kDa TNF inhibitor PEG<sub>2000</sub>db to TNF (B).

Figure 14 depicts plasma IL-6 levels induced in mice by TNF alone and one to one ratios of TNF to c105 30kDa TNF inhibitor PEG<sub>3500</sub> and PEG<sub>10,000</sub> dumbbells.

Figure 15 depicts percent neutrophils induced by varying ratios of TNF to c105 30kDa TNF inhibitor (A), c105 30kDa TNF inhibitor PEG<sub>3500</sub>db (B); c105 30kDa TNF inhibitor PEG<sub>10,000</sub>db (C); and c105 30kDa TNF

SUBSTITUTE SHEET

-18-

inhibitor PEG<sub>20,000</sub>db (D).

Figure 16 shows a plot containing intravenous plasma 30kDa TNF inhibitor concentration versus time curves for native 30kDa TNF inhibitor, c105 30kDa TNF inhibitor PEG<sub>8500</sub>, and PEG<sub>10,000</sub> and 30kDa TNF inhibitor PEG<sub>3500</sub>, PEG<sub>10,000</sub> and PEG<sub>20,000</sub> dumbbells.

Figure 17 shows a plot containing subcutaneous plasma 30kDa TNF inhibitor concentration versus time curves for a number of 30kDa TNF inhibitor species as in Figure 16.

Figure 18 depicts the solubility of 3 solutions of native IL-1ra and c84 IL-1ra PEG<sub>8500</sub> by plotting O.D. 405 versus time.

Figure 19 depicts the basic structure of compounds of this invention having the general formula R<sub>1</sub>-X-R<sub>2</sub> that are referred to as dumbbell compounds.

#### DETAILED DESCRIPTION OF THE INVENTION

This invention involves the selective modification of pharmaceutically useful polypeptides, in particular, Tumor Necrosis Factor ("TNF") inhibitors and interleukin-1 ("IL-1") inhibitors. More specifically this invention describes the selective modification of 30kDa TNF inhibitor and IL-1 receptor antagonist ("IL-1ra"). The selective modifications serve to both enhance the pharmacokinetic properties of the polypeptides as well as to provide homogenous compositions for human therapeutic use.

Additional polypeptides that may be selectively modified according to the procedures of this invention include interleukin-2 receptors ("IL-2r") and CR1. All references to interleukin-2 receptor shall be construed to include both  $\alpha$  and  $\beta$  chains of IL-2r unless stated otherwise.

In the preferred embodiments of the invention the modified polypeptides and DNA sequences are human.

-19-

However, to the extent that there is sufficient homology between animal DNA and peptide sequences to the human forms, they would be included within the scope of this invention.

5           In one embodiment, the method of modification of the present invention includes covalently bonding long chain polymers to the polypeptides of interest in a site specific manner. The selected polypeptides may be the native or naturally occurring polypeptides of  
10 interest, or they may be biologically active muteins of the polypeptides that have been produced to enhance the modification process described herein. The method of the invention includes the selection, production and screening of desired muteins that will meet the  
15 objectives of this invention. In other embodiments of this invention the method for modifying polypeptides requires merely that the modification be made so that the resulting product be available in substantially purified form as that term is defined herein.

20           In certain embodiments, the modified polypeptides of the present invention will be bonded to long chain polymers at specific positions of the amino acid sequence. The modified polypeptides of the present invention will retain a substantial portion of  
25 their biological activity. In the preferred embodiments, the modified polypeptides will retain at least one tenth of the biological activity of the native polypeptide in a receptor binding assay. In a more preferred embodiment, the modified polypeptide  
30 will retain at least one fifth of the biological activity of the native polypeptide, and in the most preferred embodiment at least one fourth of the activity will be retained. In addition, the modified polypeptide will serve to improve the pharmacokinetic  
35 performance of the native polypeptide in at least one of the following areas:

- 1) increasing the apparent molecular weight

-20-

of the native polypeptide and, hence, reducing the clearance rate following subcutaneous or systemic administration;

- 2) increasing the solubility of the native polypeptide in aqueous solutions; or
- 3) reducing the antigenicity of the native polypeptide.

In many embodiments of the invention, each of these objectives will be accomplished. In the preferred embodiments of the invention, the long chain polymer will be polyethylene glycol or monomethoxy polyethylene glycol. A polyethylene glycol unit will be referred to herein as PEG and a monomethoxy polyethylene glycol unit will be referred to as mPEG. The approximate molecular weight of the polymeric unit will be given in subscripts. For example, a monomethoxy polyethylene glycol unit of approximate molecular weight of 5,000 will be depicted as mPEG<sub>5000</sub> or PEG<sub>5000</sub>. Other long chain polymers included within the scope of this invention are polypropylene glycol ("PPG"), polyoxyethylated glycerol ("POG"), dextran, colonic acids or other carbohydrate-based polymers and polymers of  $\beta$ -amino acids and biotin derivatives.

In an alternate embodiment of the present invention, the long chain polymer unit is dihydroxy polyethylene glycol, or  $\text{HO}-(\text{CH}_2\text{CH}_2\text{O})_n\text{-H}$ . When activated to bind covalently with polypeptides or other biologically active compounds as described below, the dihydroxy material will contain two reactive sites.

In the preferred embodiments of the present invention the long chain polymer units are bonded to the polypeptide via covalent attachment to the sulfhydryl group (-SH) of a cysteine residue. To obtain selectivity of reaction and homologous reaction mixtures, it is useful to utilize functionalized polymer units that will react specifically with sulfhydryl groups. The functional or reactive group

SUBSTITUTE SHEET

-21-

attached to the long chain polymer is referred to herein as the activating group. Activating groups include the maleimide group, sulfhydryl group, thiol, triflate, tresylate, aziridine, oxirane and 5-pyridyl.

5 The preferred activating groups are maleimides.

Activated dihydroxy polyethylene glycols, because of the physical separation between the ends of the polymeric chain, are nearly equally reactive at each end of the molecule. By appropriate selection of reaction conditions and polypeptides, the activated dihydroxy polyethylene glycols--or any other multi-activated long chain polymer unit--will react with polypeptides to form "dumbbell" shaped complexes where two polypeptides are joined by a long chain polymeric unit.

By utilizing the different rates of reaction that would be found between the activated polymeric linked group and different cysteine-containing polypeptides and by the kinetics of the reactions, it is easily within the skill of those in the art to also produce dumbbell complexes where substantially purified compounds can be formed comprising two different polypeptide groups, or comprising a single polypeptide group and a different biologically active group.

20 Examples of such heterodumbbell compounds are given below.

The extent and availability for reaction of cysteines varies dramatically from polypeptide to polypeptide. Therefore, in the biologically-active form many polypeptides do not have "free" cysteines, or cysteines not bound to another cysteine. In addition, the existence of "free" cysteines does not mean that cysteines are accessible for binding to reactive reagents. Since the modification usually occurs on the active or three dimensionally folded polypeptide, little or no reaction will occur when a free cysteine is found within the "interior" of the folded structure.

-22-

A further constraint when modifying polypeptides is the potential effect the modification may have on the active site of the polypeptide. The modification of a cysteine having a certain proximal relationship to the active site may effectively deactivate the polypeptide. Even when a great deal is known about the selected polypeptide, it is difficult, if not impossible, to accurately predict which cysteine residues may be effectively modified.

The same factors also exist when mutated polypeptides are produced that contain additional cysteine residues. When the polypeptide is recombinantly produced via bacterial expression, the non-native cysteines may interfere with the proper refolding of the polypeptide. In addition, the cysteine must be accessible to the pegylating reagent, and the pegylated cysteine must not significantly interfere with the active site of the polypeptide.

The selection of potential sites within a given polypeptide for the introduction of a non-native cysteine can be influenced based on various sources of information. For example, glycosylation sites may be a good site for a mutation to include a free cysteine. To the extent that information is known about the binding or active site of the polypeptide, that information can also be used to select potential muteins. The addition or substitution of a cysteine residue at the amino terminus or carboxyl terminus of the polypeptide is also a likely prospect because of its location. And finally, the mutation of lysine residues to cysteine may be considered based on the assumption that lysines will generally be found on the surface of the biologically active polypeptide.

Although a variety of potential muteins can be selected for a given polypeptide that may meet the desired characteristics, it is only through the synthesis, pegylation and testing of such altered

SUBSTITUTE SHEET



-23-

5 muteins that it will be known which will meet the objectives of the present invention. In light of this invention and the general skill and knowledge of those skilled in the art, such synthesis, pegylation and testing can be performed without undue experimentation. It should be noted, that even if the pegylation of a polypeptide acts to reduce the biological activity of a polypeptide to a certain extent, the improvement in the pharmacokinetic performance of the polypeptide may greatly increase the value of the native polypeptide in various therapeutic applications.

10 Upon selection of target muteins, the preferred method for the production of the muteins is by recombinantly expressing the gene coding for the mutein. Assuming that the gene coding for the native polypeptide is known, the altered gene may be created either by standard site specific mutagenesis procedures on the native gene, or by the construction of the altered gene by standard gene synthesis procedures. These techniques are well known to those of ordinary skill in the art.

20 The gene coding for the target mutein may be expressed in a variety of expression systems, including animal, insect and bacterial systems. To the extent that expression systems have been perfected for the expression of the native polypeptides, the same systems may be used for the target muteins. In the preferred embodiment of the present invention, the genes coding for the target muteins are produced by site specific mutagenesis of the native gene, and the gene encoding the mutein is expressed from a bacterial expression system. The gene encoding native IL-1ra and a method for expressing said gene in E. Coli is described in detail in United States Patent No. 5,075,222 of Hannum et al., issued December 24, 1991. The gene encoding native 30kDa TNF Inhibitor and a method for expressing said gene in E. Coli is described in detail in United

-24-

States Patent Application Serial Number 07/555,274 filed July 19, 1990. Each of these applications is incorporated herein by this reference.

5       The muteins and pegylated materials of the present invention include allelic variations in the protein sequence (sequence variations due to natural variability from individual to individual) and substantially equivalent proteins. "Substantially equivalent," as used throughout the specification and  
10       claims is defined to mean possessing a very high degree of amino acid residue homology (See generally, M. Dayhoff, Atlas of Protein Sequence and Structure, vol. 5, p. 124 (1972), National Biochemical Research Foundation, Washington, D.C., specifically incorporated  
15       herein by references) as well as possessing comparable biological activity. Also included within the scope of this invention are muteins and pegylated polypeptides that are partially truncated versions of the native polypeptide.

20       In one preferred embodiment of the method of the present invention when the target mutein is produced via recombinant DNA technology in a bacterial expression system, the following steps are performed:

25       1) The gene coding for the target mutein is created by site directed mutagenesis of the gene coding for the native polypeptide;

2) The gene coding for the target mutein is expressed in a bacterial expression system;

30       3) The target mutein is isolated from the bacteria and purified;

4) The target mutein is refolded in the presence of cysteine or another sulphydryl containing compound;

35       5) The refolded target mutein is isolated and purified;

6) The purified and refolded target mutein is treated with a mild reducing agent;

SUBSTITUTE SHEET

-25-

7) The reaction mixture is dialyzed in the absence of oxygen; and

8) The dialyzed reaction mixture is treated with a long chain polymer containing an activating group.

5 In the preferred embodiment for the production of pegylated muteins of 30kDa TNF inhibitor, the mild reducing agent is dithiothreitol ("DTT"). In an alternate embodiment, the modification may occur prior to the refolding of the expressed protein or mutein.

10 In the preferred embodiment of the present invention, the pegylated muteins and pegylated native polypeptides may be purified and formulated into pharmaceutical compositions by conventional methods.

15 In an alternate embodiment, the purified muteins may also be formulated into pharmaceutical compositions.

The pegylated polypeptides of the present invention formed by the reaction of a deactivated long chain polymer unit have additional beneficial

20 properties. These "dumbbell" shaped molecules can contain two of the polypeptides of interest attached by a single polymer unit. This structure imposes a certain amount of linearity to the polymeric molecule and reduces some of the steric hinderance inherent in the use of large hydrophilic polymers such as

25 polyethylene glycol. The goal of obtaining molecules with increased apparent molecular weight is achieved while retaining high biological activity. Included specifically within the scope of this invention are

30 bidentate molecules where two IL-1ra molecules or two TNF inhibitor molecules are covalently attached to a single polymeric chain, or where two different polypeptides are attached to a single polymeric chain, i.e., a single bidentate molecule containing both a TNF

35 inhibitor and a IL-1ra moiety.

Native IL-1ra (figure 1) and various muteins of IL-1ra have been pegylated according to the present

-26-

invention. Pegylation of wild type IL-1ra at free  
 sulphhydryl groups, by the methods described in the  
 examples below, results in the addition of mPEG at the  
 cysteine residue at position 116 of IL-1ra (c116). The  
 5 other three cysteines are not accessible for pegylation  
 in the fully native molecule. To attach mPEG molecules  
 at different sites of IL-1ra and to make mPEG  
 conjugates having more than one mPEG, IL-1ra in which  
 native amino acids in IL-1ra were replaced with a  
 10 cysteine, or additional cysteines are added at the  
 amino-terminus of the protein. To prepare conjugates  
 in which residue 116 is not pegylated c116 has been  
 changed to a serine in a number of the muteins. Below  
 is a list of the muteins that have been generated for  
 15 reaction with mPEG (the residue numbering is based on  
 the sequence given in Figure 1; c referring to cysteine  
 and s referring to serine):

	c0s116	c0c116
	c84s116	c84c116
20	c6s116	c6c116
	c8s116	c8c116
	c9s116	c9c116
	c141s116	c141c116

Native 30kDa TNF inhibitor (figure 2) does not  
 25 contain any free cysteine residues. The following  
 muteins of 30kDa TNF inhibitor have been prepared (the  
 residue numbering is based on the sequence given in  
 Figure 2; c referring to cysteine):

	c105 30kDa TNF inhibitor
30	c1 30kDa TNF inhibitor
	c14 30kDa TNF inhibitor
	c111 30kDa TNF inhibitor
	c161 30kDa TNF inhibitor

Included within the scope of this invention is  
 35 an entire class of compounds, as depicted in Figure 19,  
 that can be represented by the formula  $R_1-X-R_2$  wherein  
 $R_1$  and  $R_2$  are biologically active groups and at least

-27-

one of  $R_1$  and  $R_2$  is polypeptidic, and X is a non-peptidic polymeric spacer or linker group.  $R_1$  and  $R_2$  may be the same group or different. Where  $R_1$  and  $R_2$  are different groups, both  $R_1$  and  $R_2$  may be  
5 polypeptidic, or  $R_1$  may be polypeptidic and  $R_2$  may be any biologically active group. The compounds having this structure, which have been referred to as "dumbbell" compounds, are characterized by being substantially purified. "Substantially purified" in  
10 this context is defined as being a homogenous composition.

A homogenous composition consists of one molecule of the linker X and one molecule of  $R_1$  and one molecule of  $R_2$ . A homogenous composition includes, but  
15 does not require, that the biologically active groups  $R_1$  and  $R_2$  be attached to the linker at the exact same location on the groups in each molecule of the compound. In certain embodiments of the invention, the biologically active groups are attached site  
20 specifically to the linker. For example, in the compound c105 30kDa TNF inhibitor PEG<sub>3000</sub>db, two c105 30kDa TNF inhibitor groups are attached at the 105 cysteine residue to the PEG<sub>3000</sub> linker.

When referring to a "homogenous composition" it  
25 is to be understood that on a molecule-by-molecule basis, the dumbbell compound is also not necessarily homogenous with respect to the exact length of the spacer group. It is understood by those skilled in the art that any production process that utilizes a given  
30 weight range of PEG or other higher molecular weight polymer begins with a solution that contains an "average" molecular weight. Therefore, when a bis-reactive PEG unit is reacted with a polypeptidic group, the PEG unit is by definition polydisperse, and the  
35 resultant dumbbell compound is heterogenous to the extent that the length of the linker is subject to the variation known to exist by those skilled in the art.

-28-

In summary, "substantially purified" in this context refers to materials that are substantially free from compounds: 1) that deviate in the composition of  $R_1$  or  $R_2$ ; or 2) that are linked together by more than one linker X.

$R_1$  and  $R_2$  are defined as being biologically active groups. Biologically active groups include any compound that can induce a biological effect on interact with a natural biological molecule.

Biologically active groups include proteins, polypeptides, steroids, carbohydrates, organic species such as heparin, metal containing agents, vitamins, or any other biologically active species. At least one of the groups  $R_1$  and  $R_2$  is polypeptidic. In the preferred embodiment, both  $R_1$  and  $R_2$  are polypeptidic.

Polypeptidic is defined as any compound that is substantially proteinaceous in nature. However, a polypeptidic group may contain some non-peptidic elements. For example, glycosylated polypeptides or synthetically modified proteins are included within the definition.

The biologically active groups  $R_1$  and  $R_2$  include binding groups and targeting groups. Binding groups are defined by their affinity for a given biological ligand. Targeting groups are defined by their ability to direct the location of a complex within a biological system.  $R_1$  and  $R_2$  may have affinity for the same ligand, in which case the dumbbell may have enhanced affinity to that ligand.  $R_1$  and  $R_2$  may have an affinity for different ligands, wherein  $R_1$  serves to target the complex into a location where the ligand for  $R_2$  predominates.

Preferred polypeptidic groups are receptors, the extracellular portions of receptors, cell surface molecules, and extracellular matrix molecules, binding proteins, and receptor antagonists. Included among the polypeptidic groups that may be used as  $R_1$  or  $R_2$  are

SUBSTITUTE SHEET

-29-

the following polypeptides and any fragment thereof:  
IL-1 receptor antagonist, 30kDa TNF inhibitor, 40kDa  
TNF inhibitor, IL-2 receptor, CR1 (all references to  
5 CR1 include any single or combination of consensus  
repeat sequences of CR1), PDGF receptor, IL-2, MCSF  
receptor, EGF receptor, IL-5 receptor, IL-3 receptor,  
GMCSF receptor, T-cell receptor, HLA-I, HLA-II, NGF  
receptor, IgG ( $V_H$ ,  $V_L$ ), CD40, CD27, IL-6 receptor,  
10 Integrins CR3, VLA<sub>4</sub>, ICAM, and VCAM, CR2, GMP140 Lec  
domain, Laminin binding protein, Laminin fragments,  
Mannose binding protein, exon 6 peptide of PDGF, and  
proteases (with 2 catalytic domains or a target domain  
and a catalytic domain). All references to receptors  
15 includes all forms of the receptor whenever more than a  
single form exists. In the preferred embodiments, the  
groups  $R_1$  and  $R_2$  are selected from the group consisting  
of IL-1 receptor antagonist, 30kDa TNF inhibitor, CR1,  
and IL-2 receptor (both the  $\alpha$  and  $\beta$  chains).

In a preferred embodiment, the non-peptidic  
20 polymeric spacer X may be further defined as follows:  
 $X = -Y_1-(Z)_n-Y_2-$ , wherein  $Y_1$  and  $Y_2$  represent the residue  
of activating groups that react with  $R_1$  and  $R_2$  to link  
the spacer to the groups  $R_1$  and  $R_2$ , and  $(Z)_n$  represents  
the base polymeric group. According to the present  
25 invention n is greater than 6 and preferably is greater  
than 10.

Non-peptidic is defined as a polymeric group  
that is substantially not peptidic in nature. The  
inclusion of less than 50% by weight of  $\alpha$ -amino acid  
30 residue as part of  $Y_1$ ,  $Y_2$  and Z would be considered  
substantially non-peptidic in nature and would be  
considered non-peptidic. In the preferred embodiment,  
the non-peptidic spacer X is non-immunogenic, and  
biologically inert and hydrophilic. In addition, the  
35 preferred linkers are capable of conveying desirable  
properties to the biologically active polypeptidic  
groups -- such as reduced immunogenicity, increased

SUBSTITUTE SHEET

-30-

solubility, or reduced clearance rate from the body -- without significantly reducing the affinity of a given  $R_1$  or  $R_2$  group to its ligand. In the most preferred embodiments, the compound  $R_1-X-R_2$  (wherein  $R_1=R_2$  and  $R_1$  and  $R_2$  are binding groups) has an affinity for its ligand that exceeds the affinity that the non-derivitized binding group has to the ligand. For example, sub-substantially purified c105 30kDa TNF inhibitor PEG<sub>3400</sub>db has an inhibitor activity for TNF that is greater than 20 times the inhibitor activity that c105 30kDa TNF inhibitor has for TNF.

The activating groups  $Y_1$  and  $Y_2$  that are part of the polymeric spacer  $X$  may be comprised of any of the activating groups as discussed above, including the maleimide group, sulfhydryl group, thiol, triflate, tresylate, aziridine, oxirane, and 5-pyridyl. The preferred activating groups are maleimides.

The polymeric group  $(Z)_n$  is preferably selected from the group consisting of polyethylene glycol, polypropylene glycol, polyoxyethylated glycerol, dextran, poly  $\beta$ -amino acids, colonic acids or other carbohydrate polymers and polymers of biotin derivatives. In the preferred embodiments, the polymeric group is polyethylene glycol. Any non-peptidic polymeric group that would serve the functions as described herein would also be included within the scope of this invention.

One of the advantages of the present invention is the ability to vary the distance between the groups  $R_1$  and  $R_2$  by varying the length of the polymeric group linking the two binding groups. Although not limited by theory, it is proposed that the increase in biological activity seen for the multimeric compounds of this invention may be attributed to the multimeric nature of the cell receptors and ligands in vivo. For this reason, the optimal distance between the units  $R_1$  and  $R_2$  (which would be generally directly proportional



-31-

to the length of the polymeric unit  $(Z)_n$ ) may be easily determined by one skilled in the art by varying the size of the spacer X.

5 In one embodiment of the present invention, the groups  $R_1$  and  $R_2$  are the same. However, in an alternate embodiment  $R_1$  and  $R_2$  are different species. Such compounds can be designed to create a heterodimer wherein both  $R_1$  and  $R_2$  act within the same general biological systems. For example, both IL-1 receptor  
10 antagonist and TNF inhibitors are believed to disrupt the inflammation cascade. The difunctional complexes may also be designed where  $R_1$  or  $R_2$  is a "targeting" species that "directs" the complex to a specific location by its binding affinity to a certain  
15 substrate, and the opposing binding group has a desired activity at the localized site.

An example of a heterodimer that has great potential for being a successful IL-2 inhibitor is one where  $R_1$  is IL-2 $\alpha$  and  $R_2$  is IL-2 $\beta$ . Such a  
20 heterodimer mimics the receptor complex that has the highest affinity for IL-2. See Example XVII. An additional heterodimer that can act as a complement inhibitor is the heterodimer where  $R_1$  is the C3b binding domain from CR1 and  $R_2$  is the C4b binding  
25 domain from CR1. See Example XVIII. In an additional heterodimer  $R_1$  is the exon 6 peptide of PDGF and  $R_2$  is IL-1 $\alpha$ . See Example XIX.

In the preferred embodiment of the invention, the procedures for producing the bifunctional  $R_1$ -X- $R_2$   
30 complexes are essentially the same as those used for the site-selective reaction of polypeptides as described above. The synthesis of c105 30kDa TNF inhibitor PEG<sub>3400</sub>db is described below in Example 13. A bis-reactive polymeric group is reacted with a  
35 cysteine-containing polypeptide, wherein the activating group on the bis-reactive polymeric group forms a thio-ether bond with the selected free cysteine residue. As described above, the cysteine may be a free cysteine

SUBSTITUTE SHEET

-32-

naturally-occurring on the polypeptidic group, or a non-native cysteine that has been added or substituted into the natural sequence.

5 The preferred bis-reactive polymeric compound of the present invention is  $\alpha$ -(2-maleimido) $\omega$ -maleimido poly(oxyethylene) or bis-maleimido PEG. The synthesis of bis-maleimido PEG is described in Example 12. According to the preferred method, the bis-maleimido compound is prepared from bis-hydroxyl PEG via the bis-  
10 amino intermediate.

Several methods for the conversion of the terminal hydroxyls of PEG to the corresponding amino group have been reviewed by Harris et al., J. Polymer Sci. vol. 22, pg. 341 (1984); Harris, Rev. Macromol. Chem. vol. c25(3), pg. 325 (1985). This is  
15 accomplished by generating a reactive intermediate via either sulfonation, halogenation, or oxidation of the hydroxyl followed by displacement of the activated termini by a nucleophile.

20 Other practical alternatives to the synthesis of the bis-maleimide PEG given in Example 12 also exist. The reactive intermediate in the conversion of the hydroxyl to the amine may be the halogenated derivative (e.g. the  $\alpha$ -(bromoethyl)- $\omega$ -  
25 bromopoly(oxyethylene) intermediate (Johannson, Biochim. et Biophys. vol. 222, pg. 381 (1970)) followed by direct substitution with ammonia, (Buckmann et al., Makromol. Chem. vol. 182, pg. 1379 (1981)) or the aldehyde intermediate (Harris, supra.) The bis-  
30 maleimide PEG is not the only sulfhydryl-specific reagent that may be used. Glass and coworkers have developed another method for the attachment of PEG to sulfhydryls. Glass et al., J. Biopolymers vol. 18, pg. 383 (1979). However, the reaction is reversible with  
35 thiols. Another method for attachment of PEG to cysteinyl sulhydryls is the bis-4-vinylpyridine PEG derivative.

SUBSTITUTE SHEET

-33-

Harris (supra) also reviews the synthesis of a variety of electrophilic derivatives of PEG that can be used as reagents to modify proteins. The reagents include chlorocarbonates, isocyanate, epoxide, succinimidyl succinate, cyanuric chloride, mixed anhydride, carbodiimides and sulfonates. The latter group includes tresylate, tosylate, and mesylates. Some of the reagents react selectively with amines (e.g., cyanuric chloride and carbodiimides) while others react with both sulhydrys and amines (e.g., epoxide and tresylates). Some of these reagents have been used to modify proteins and may result in varying degrees in loss of activity.

The preferred preparation of  $R_1$ -X- $R_2$  complexes where  $R_1$  and  $R_2$  are different requires a two step process where the bis-reactive polymeric group is reacted in series with  $R_1$  and then  $R_2$ . The preparation of such heterodimers may be accomplished by those of ordinary skill in the art without undue experimentation. In some cases the intermediate  $R_1$ -X must first be isolated and purified prior to reaction with  $R_2$ , and in other circumstances an intermediate purification may not be necessary.

The extracellular domains of both IL-2 $\alpha$  and IL-2 $\beta$  may be cloned using PCR and cloned into a vector capable of directing expression in E. coli. The proteins may be refolded and purified from E. coli and their ability to inhibit IL-2 activity measured in bioassays. In vitro mutagenesis can be used to substitute native residues in the molecules with cysteine to allow for site directed attachment of PEG. Muteins of both IL-2 $\alpha$  and IL-2 $\beta$  may then be identified that allow for efficient attachment of PEG which do not lose activity when PEGylated. A PEG-linked heterodimer may be formed by first PEGylating IL-2 $\alpha$  in the presence of an excess of bis-maleimido PEG. The singly PEGylated IL-2 $\alpha$  may be purified and

SUBSTITUTE SHEET

-34-

IL-2r $\beta$  added to react with the active maleimide group and form the heterodimer. This molecule may be purified and its activity assessed. This molecule should mimic the high affinity IL-2 receptor found on cell surfaces.

A dumbbell complex where R<sub>1</sub> is IL-2 and R<sub>2</sub> is IL-2r $\beta$  should also be useful as a receptor antagonist of IL-2.

**EXAMPLE I. SYNTHESIS OF POLYETHYLENE GLYCOLATING AGENTS**

Three reagents are described to indicate the diverse means that may be used to derivatize polypeptides. See, Appendix to Example 1, for structures of Intermediates and reagents described below. All references provided below are specifically incorporated herein by this reference.

**A. SYNTHESIS OF REAGENT 1: mPEG<sub>x</sub>-ESTER-MALEIMIDE**

The succinate ester derivative of the mPEG<sub>x</sub> (intermediate 1) was prepared as described by Wie *et al.* Int. Archs. Allergy App. Immun., vol. 64, pp. 84-99 (1981). The resulting product was weighed out and dissolved in a minimum of dry dioxane at 60°C. After the solution had cooled to ambient temperature, equimolar amounts of both tri-n-butylamine and isobutyl chloroformate were added. The reaction proceeded thirty minutes with stirring. During this time, a borate buffer, pH 8.8, was made by titrating a solution of 0.5 M boric acid with 1,6-hexanediamine. The solution containing the mixed anhydride was added dropwise to an aliquot of the borate buffer containing a 10-fold molar excess of 1,6-hexanediamine over the mixed anhydride. The reaction mixture was exhaustively dialyzed versus deionized water at 4°C and lyophilized. This polymer intermediate (intermediate 2) was reacted with a 2.5:1 molar excess of sulfosuccinimidyl 4-(N-

**SUBSTITUTE SHEET**

-35-

maleimiodethyl) cyclohexane-1-carboxylate (sulfo-SMCC, Pierce Chemical Co., Rockford Ill.) in 50 mM sodium phosphate or HEPES buffer, pH 7.0, for two hours at room temperature. The resulting polymer was purified by size exclusion chromatography of the reaction mixture on Sephadex G-25 using 50 mM sodium phosphate (or HEPES) pH 7.0 for elution at 4°C. The maleimido-polymer (reagent 1) eluted at the void volume of the column and was detected by monitoring its absorbance at 260 nanometers. The reagent was used to alkylate polypeptides within one hour of its purification. Since the mPEG from this reaction can be removed by base hydrolysis, this reagent is useful for identifying the site of mPEG attachment to the protein.

B. SYNTHESIS OF REAGENT 2: mPEG<sub>x</sub>-AMIDE MALEIMIDE

The mPEG<sub>x</sub>-tosylate (intermediate 3) was prepared as described by Pillai *et al.* J. Org. Chem. vol. 45, pp. 5364-5370 (1980). The amount of sulfonated intermediate was estimated spectrophotometrically as described by Nilson and Mosbach, in Methods of Enzymology, vol. 104, pp. 56-69, Academic Pres. Inc., N.Y., N.Y. (1984). This intermediate was converted to the phthalimide derivative (intermediate 4) and subsequently reduced with hydrazine hydrate to the mPEG<sub>x</sub>-NH<sub>2</sub> intermediate (intermediate 5) by the procedure of Pillai *et al.*, *supra*. The amino group capacity in equivalents per gram of product was quantified by microtitration with hydrochloric acid. The mPEG<sub>x</sub>-NH<sub>2</sub> was reacted with sulfo-SMCC in HEPES or phosphate buffer pH 7.2 at room temperature for two hours. The amount of the mPEG<sub>x</sub>-amine to sulfo-SMCC was tested at molar ratios of 5:1 to 1:5.

To determine the optimal conditions the final reagent (reagent 2) was used in pegylation reactions and the quantity and quality of mPEG<sub>x</sub>\*IL-1ra (we will

-36-

use this designation for the pegylated product of IL-1ra reacted with reagent 2 and mPEG<sub>x</sub>IL-1ra for pegylated IL-1ra from a reaction with reagent 3 described below) obtained from these reactions was assessed by SDS-polyacrylamide gel electrophoresis (PAGE). The optimal result was seen with a 1:1 ratio of SMCC to mPEG<sub>x</sub>-NH<sub>2</sub>. Higher proportions of sulfo-SMCC generated multiple higher molecular weight derivatives of IL-1ra on SDS-PAGE and multiple peaks on analytical ion exchange chromatography and lower proportions resulted in a reduced yield of pegylated protein. Reagent 2 was purified by size exclusion chromatography using G25 sephadex resin.

15

#### C. SYNTHESIS OF REAGENT 3: mPEG<sub>x</sub>-MALEIMIDE

The mPEG<sub>x</sub>-NH<sub>2</sub> (intermediate 5) can be modified further to yield a different maleimido-derivative (reagent 3). The latter was accomplished by reacting the mPEG<sub>x</sub>-NH<sub>2</sub> with maleic anhydride via an adaptation of the procedure of Butler and Hartley, in Methods of Enzymology, vol. XXV pp. 191-199, Academic Press. Inc., N.Y., N.Y. (1972) and cyclizing this intermediate (intermediate 6) to the corresponding 0-(2-maleimido ethyl)-0'-methylpolyethylene glycol using the method described by Wunsch et al., Biol. Chem. Hoppe-Seyler, vol. 366, pp. 53-61 (1985).

20

25

#### APPENDIX TO EXAMPLE I

##### SYNTHESIS OF REAGENT 1

30

Structures of starting material, intermediates and reagent from synthesis 1.

Starting material:

Generalized formula for monomethoxypolyethylene glycol (mPEG<sub>x</sub>):

35



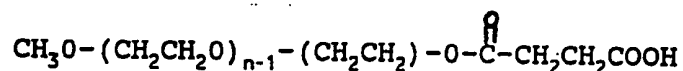
SUBSTITUTE SHEET

-37-

where  $\bar{x}$  denotes the average molecular weight of the polymer in kilodaltons and  $n$  is the average number of repeating oxyethylene groups.

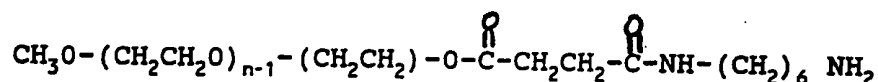
**Intermediate 1:**

5



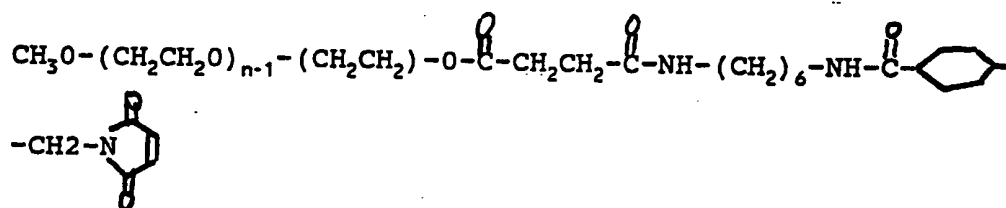
**Intermediate 2:**

10



**Reagent 1:**

15



## SYNTHESIS OF REAGENT 2

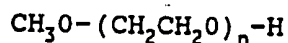
20

Structures of starting material, intermediates and reagent from synthesis 2.

**Starting material:**

Generalized formula for monomethoxypolyethylene glycol (mPEGx):

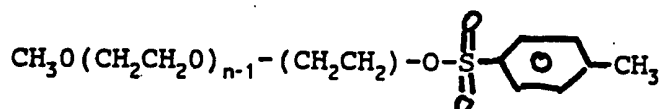
25



where  $\bar{x}$  denotes the average molecular weight of the polymer in kilodaltons and  $n$  is the average number of repeating oxyethylene groups.

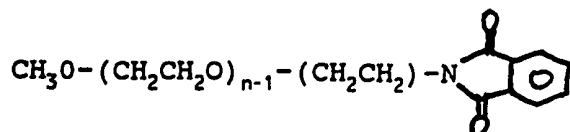
**Intermediate 3:**

30



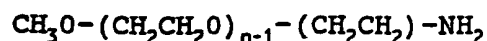
**Intermediate 4:**

35

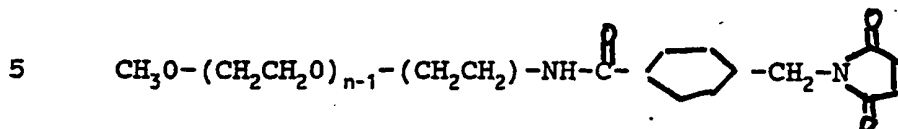


Intermediate 5 (mPEGx-NH<sub>2</sub>):

-38-



Reagent 2:

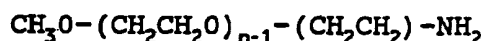


## SYNTHESIS OF REAGENT 3

Structures of starting material, intermediates and reagent from synthesis 3.

10 Starting material:

Intermediate 5 (mPEG<sub>x</sub>-NH<sub>2</sub>):



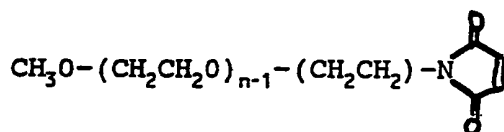
15 Intermediate 6:



Reagent 3:

20

O-(2-maleimidoethyl)-O'-methyl polyethylene glycol



25 EXAMPLE II. PREPARATION OF PEGYLATED NATIVE IL-1ra

Various parameters were tested in optimizing the pegylation reaction of native IL-1ra with successful pegylation assayed by visual inspection for a single tight band at 29 kilodaltons on Coomassie stained SDS-PAGE and a single sharp peak by analytical ion exchange chromatography. Unless otherwise stated, pegylation reactions were done at 1 mg/ml of native IL-1ra at room temperature in HEPES buffer pH 7.2 with a mPEG reagent to IL-1ra ratio of 2:1. The reagent used in these studies was mPEG-amido-maleimide (Reagent 2) and the product is referred to as mPEG<sub>x</sub>\*IL-1ra but the results are applicable to all three reagents.

30

35



-39-

## A. TIME

Pegylation reactions at room temperature were analyzed from 0.5 to 24 hours. Conversion of the IL-1ra to the pegylated form is complete (80%-90%) in two to four hours and the total amount of mPEG\*IL-1ra does not increase or decrease after longer periods of incubation. The quality of the mPEG\*IL-1ra assayed by SDS-PAGE decreases at longer times due to the appearance of additional bands and smears at higher molecular weights on the stained gel.

## B. TEMPERATURE

Pegylation reactions were incubated at temperatures of 4°, 25°, 37°, and 50°C and then analyzed at time points of 0.5, 1, 2, 4 and 17 hours. The reactions at 25° and 37° generated a large amount (about 50%-80%) of pegylated protein within one to two hours but those at 4°C and 50°C resulted in a much lower yield (10%-20%) even at the later time points. The quality of the mPEG\*IL-1ra does not seem to change significantly with temperature.

## C. PROTEIN CONCENTRATION

Pegylation reactions have been done with protein concentrations (native IL-1ra) between 50 ug/ml and 10 mg/ml. All of the concentrations tested worked well and there was no difference in the quality of the mPEG\*IL-1ra.

## D. pH

Native IL-1ra was pegylated under the reaction conditions stated above between pH 5.5 and 7.5. The quality of the mPEG\*IL-1ra is slightly better by SDS-PAGE and ion exchange at a lower pH (5.5) but the percent conversion is the same.

## E. mPEG-AMIDO-MALEIMIDE TO NATIVE IL-1ra RATIO

SUBSTITUTE SHEET

-40-

We tested ratios of between 0.5:1 to 20:1 of the mPEG-amido-maleimide to native IL-1ra. Ratios higher than about 2:1 result in efficient conversion to the pegylated form of IL-1ra (50%-90%). Ratios greater than 5:1, however, generate lower quality mPEG\*IL-1ra by increasing the amount of extra high molecular weight bands on reduced SDS-PAGE and multiple peaks on ion exchange chromatography.

The optimal reaction conditions for both quantity of mPEG\*IL-1ra obtained and quality of the material, within the parameters used, is a 2:1 mPEG-amido-maleimide/IL-1ra at 25°C for 2-4 hours using mPEG-amido-maleimide generated with a 1:1 ratio of Sulfo-SMCC to mPEG-amine. With these conditions 80-90% of the IL-1ra is converted to the pegylated form using reagent synthesized with either mPEG<sub>5000</sub> or mPEG<sub>8500</sub> as the starting material (Figure 3).

#### F. PREPARATION OF IL-1ra PEG DUMBBELLS

PEG dumbbell complexes containing IL-1ra are made according to the same procedures as other PEGylated IL-1ra species. A 2-4 molar excess of bis-maleimido PEG to IL-1ra in HEPES buffer at 7.0 is used. With IL-1ra, the species used may be the wild type molecule, which has a free and available cysteine residue, or a mutein prepared as described herein. The IL-1ra is at a concentration of 2-5 mg/ml. The reaction is incubated at ambient temperatures for 4 to 6 hours. The IL-1ra PEG dumbbell compounds are purified from the unPEGylated and singly PEGylated species by MonoS cation exchange at pH5.5 in 20-50mM MES buffer using a gradient from 0 to 1000 mM NaCl. Further purification may be achieved by size exclusion chromatography using a BioRad TSK 250 or Superdex 75 column, as described below.

#### EXAMPLE III. PURIFICATION OF PEGYLATED NATIVE IL-1ra

-41-

Purification of mPEG<sub>x</sub>\*IL-1ra can be achieved by cation exchange or size exclusion chromatography. These procedures are applicable to pegylated IL-1ra derived from all three reagents described above.

5

#### A. CATION EXCHANGE CHROMATOGRAPHY

The mPEG<sub>x</sub>\*IL-1ra can be purified using a MonoS (Pharmacia) column with 20mM MES buffer at pH 5.5. The proteins were eluted from the column using a salt gradient from 0 to 500mM NaCl in the same buffer. For example, unmodified IL-1ra elutes at 220mM NaCl, while the purity is assessed by various techniques including analytical ion exchange chromatography and SDS-PAGE. mPEG<sub>5000</sub> IL-1ra elutes at 160mM (Figure 4).

15

#### B. SIZE EXCLUSION CHROMATOGRAPHY

The mPEG<sub>5000</sub>\*IL-1ra, which runs as about 52 kd, and mPEG<sub>8500</sub>\*IL-1ra, which runs as about 68 kd (based on column calibration with known size standards), can easily be separated from unmodified IL-1ra (17 kd) by size exclusion chromatography on a Superdex 75 (Pharmacia) column with standard chromatographic techniques (Figure 5).

20

#### 25 EXAMPLE IV: CHARACTERIZATION OF THE mPEG<sub>x</sub>\*IL-1ra

Purified mPEG<sub>x</sub>\*IL-1ra gave a single symmetrical peak upon rechromatography on MonoS and appeared pure by both SDS-PAGE and size exclusion chromatography (Figure 3 and 4). A comparison of the tryptic maps of IL-1ra and mPEG<sub>5000</sub>\*IL-1ra showed one peak, corresponding to the peptide containing c116 and c122, absent from the conjugate map with the appearance of a new broad peak in this map. Subdigestion of this new peak with chymotrypsin and subsequent amino acid sequence analysis indicated that c116 had been pegylated under the conditions employed (Figure 6).

30

35

SUBSTITUTE SHEET

-42-

**EXAMPLE V. PREPARATION OF IL-1ra MUTEINS**

5 Mutagenesis was performed on single stranded  
DNA from the IL-1ra gene cloned into the bacteriophage  
M13. BioRad's Mutagene kit was used which uses the  
10 procedure described by Kunkel et al. Methods in  
Enzymology vol. 154, pp. 367-382 (1987). Briefly,  
single stranded DNA template was generated using an E.  
coli strain that contains the dut and ung mutations,  
15 resulting in template that contains uracil instead of  
thymidine. Mutagenic oligonucleotides between 20 and  
30 base pairs in length were annealed to the template  
and the second strand was resynthesized using DNA  
polymerase and DNA ligase. The reaction mixtures were  
used to transform a wild type E. coli strain in which  
20 the uracil containing strand is degraded by the DNA  
repair mechanisms and the mutant strand is allowed to  
replicate. The mutant phage were screened and  
sequenced by standard techniques. The fragment  
containing the mutant gene was then subcloned into the  
expression vector pT5T (Eisenberg et al. Nature vol.  
343, pp. 341-346, (1989)) and transformed into the T7  
expression system strain (E. coli B121DE3). Other E.  
coli expression systems may also be used.

25 Expression clones were grown in Luria Broth  
supplemented with 15ug/ml tetracycline at 37°C. When  
the cultures reached an optical density of 0.8 at 600nm  
they were moved to 30° and IPTG was added to a final  
concentration of 1 mM to induce expression of the IL-  
1ra gene. Total accumulation of the IL-1ra protein was  
30 maximal after 4-6 hours and did not change  
significantly for up to 12 hours post induction.

**EXAMPLE VI: PURIFICATION OF THE IL-1ra MUTEINS**

35 Cell cultures induced as described above were  
harvested by centrifugation at 10000g for 10 min. The  
cells were resuspended in 30mM sodium acetate buffer pH  
5.2 in 20-50 mls. Lysis was achieved by two passes

-43-

through the French Pressure cell at 18000 psi. The cell lysate was centrifuged at 10000g for 10 minutes. The soluble portion was loaded onto a S-Sepharose column and washed with the same buffer containing 75mM NaCl. The IL-1ra mutein eluted with buffer containing 200mM NaCl. The single pass over the ion exchange resin resulted in a product of sufficient purity (>95%) for pegylation studies. Further purification can be achieved using other ion exchange resins such as Q-Sepharose or MonoQ. This procedure was used for several of the IL-1ra muteins with equal success. In some cases it was necessary to vary the pH and/or NaCl concentrations slightly to purify muteins which have a small change in protein charge due the change in amino acid sequence. With these slight variations that would be easily manipulated by one of ordinary skill in the art, this procedure is generally applicable to all of the muteins studied.

#### 20 EXAMPLE VII: IL-1ra MUTEIN PEGYLATION

In addition to the native IL-1ra, muteins c84s116, c84c116, c0s116 and c9s116 were pegylated. Employing the same conditions used for the native IL-1ra, the pegylated forms of c84s116 and c84c116 were produced and purified. Since c84c116 contains two reactive cysteines, pegylation results in a higher molecular weight protein at about 40 kd on SDS-PAGE. This protein can be purified by cation exchange or size exclusion chromatography and runs at the expected molecular weight of about 68 kd on the latter when using PEG<sub>5000</sub>.

#### 30 EXAMPLE VIII. EFFICACY OF THE mPEG\*IL-1ra

The efficacy of the pegylated native IL-1ra molecules was tested by a standard competitive receptor binding assay using S<sup>35</sup>-IL-1ra as the ligand. Mouse cells (EL4) containing the mouse type 1 IL-1 receptor

SUBSTITUTE SHEET

-44-

or hamster cells (CHO) expressing from a cloned gene the human type 1 receptor were used at  $1 \times 10^6$  cells per well and  $1 \times 10^5$  cells per well, respectively, in 96 well microliter dishes.  $S^{35}$ -IL-1ra with a specific activity of 4000 Ci/mmol was added to a final concentration of 150pM. Cold ligand was added in serial dilutions from 28 mM to 13 pM and allowed to incubate for 4 hours at 4°C. The cells were then filtered through a Milliliter filter plate (Millipore, .5 micron pore size Durapore filter), washed to remove nonspecifically bound counts, the filter removed and counted on an Ambis Radioanalytical Imaging System. Equilibrium dissociation constants (kDs) were calculated and used to compare the pegylated and unmodified forms of IL-1ra. Unmodified wild type IL-1ra and c84s116 have equal kD's for the type 1 mouse receptor of 150-300 pM in our assay. The kD for the IL-1ra pegylated form is about 400-800 pM and for pegylated c84s116, 500-1000 pM which is 2.5 and 3.5 fold higher than that of the unmodified protein respectively. The kDs for all but one (c6s116) of the unpegylated muteins are within 65-150% of the native protein, within the standard error of the assay. See Table 1.

25

SUBSTITUTE SHEET

-45-

TABLE 1

## ANALYSIS OF PEGYLATED IL-1ra MOLECULES

		SIZE (kd)	RECEPTOR ASSAY
5	MUTEIN		
	WILD TYPE	17.5	100
	C84S116	17.5	98
10	C9S116	17.5	67
	C6S116	17.5	37
	C0S116	17.5	63
	C84C116	17.5	95
15	PEG*IL-1ra		
	SINGLE		
	PEG <sub>5000</sub> C116	50-60	34
	PEG <sub>5000</sub> C84s116	50-60	28
20	PEG <sub>8500</sub> C116	70-80	30
	PEG <sub>8500</sub> C84s116	70-80	30
	PEG <sub>8500</sub> C0s116	ND	22
	PEG <sub>8500</sub> C9s116	ND	12
	PEG <sub>12000</sub> C116	78	20
25	DOUBLE		
	PEG <sub>5000</sub> C84c116	70-80	11
	PEG <sub>8500</sub> C84C116	150-200	4
	PEG <sub>12000</sub> C84C116	175	5
30			
	DUMBBELLS		
	PEG <sub>3500</sub> C116	55-65	49
35	PEG <sub>3500</sub> C84	60	49
	PEG <sub>10,000</sub> C116	175-200	49
	PEG <sub>10,000</sub> C84	200	60
	PEG <sub>20,000</sub> C84	>200	24

40 Data are presented as a percent of the activity exhibited by unmodified IL-1ra. Standard deviations are within 10%.

45 EXAMPLE IX: PHARMACOKINETICS OF PEGYLATED NATIVE MUTEIN IL-1ra

50 The pharmacokinetic character of several pegylated native and mutein IL-1ra molecules was tested following intravenous injection of the molecules to rats. Native or pegylated IL-1ra was injected as an intravenous bolus dose (3 mg/kg). Serial blood samples

SUBSTITUTE SHEET

-46-

were drawn from the tail vein and assayed for native or  
pegylated IL-1ra by enzyme-linked immunosorbent assay  
(ELISA). The resulting plasma IL-1ra concentration vs.  
time profiles (Figure 8) illustrate that pegylation has  
5 a pronounced influence on the disappearance of IL-1ra  
from the plasma after intravenous injection. The  
declines in plasma IL-1ra and pegylated derivatives of  
IL-1ra are best described by three exponential  
components. The data indicate that pegylation prolongs  
10 the half-lives of these exponential components up to  
six-fold in the rat (Table 2). The half-lives of these  
exponential components increase as the size of the PEG  
molecule increases (Table 2). Additionally, there is  
evidence that the prolongation of the half-lives may be  
15 pegylation site-specific. Standard compartmental  
analysis was used to interpret the data of Figure 8.  
The prolongation of  
half-lives may be explained based on accepted  
pharmacokinetic theory which states that the plasma  
20 half-life for a drug is inversely related to the plasma  
clearance for the drug and directly related to the  
apparent volume of distribution for the drug.  
Pharmacokinetic analysis of the disappearance of  
pegylated IL-1ras from the plasma indicate that the  
25 prolongation in half-life is inversely related to a  
decreased plasma clearance for the pegylated molecules,  
compared to native IL-1ra (Table 2). The decrease in  
plasma clearance is consistent with an anticipated  
size-related decrease in glomerular filtration of the  
30 pegylated molecules by the kidneys. Also, the  
prolongation of the half-lives by pegylation is  
directly related to an increase of the distribution ( $V_d$   
steady-state, Table 2) of the pegylated molecule. The  
increase in distribution volume indicates greater  
35 penetration of the pegylated molecules into the  
extravascular pool. Through this mechanism pegylation  
improves therapy with IL-1ra by increasing the extent

SUBSTITUTE SHEET



-47-

to which the active molecules move from the systemic circulation into the extravascular compartment, a compartment in which IL-1 receptors are expected to be located. Because of the similarity between rats and humans in both clearance and distribution mechanisms for IL-1ra, it is apparent that pegylation will similarly improve the pharmacokinetic properties of IL-1ra in humans.

1. Additional intravenous pharmacokinetics for pegylated IL-1ra

The intravenous pharmacokinetics for eight additional pegylated IL-1ra muteins have been characterized using methods previously described. A plot containing intravenous plasma IL-1ra concentration vs. time curves for each of the molecules is attached (Figure 10). Review of all of the intravenous pharmacokinetic data (Table 3) indicates that as the size of the PEG (single or double) is increased, the plasma clearance decreases and hence the intravenous mean residence time and plasma IL-1ra disappearance half-lives increase. The site of pegylation is important in determining the extent to which the pegylation decreases the plasma clearance and prolongs the means residence time. The addition of two PEGs to IL-1ra prolongs the intravenous mean residence time fourteen-fold compared to wild type IL-1ra.

2. Subcutaneous pharmacokinetics for pegylated IL-1ra

Absorption pharmacokinetics of pegylated IL-1ra muteins have been characterized following subcutaneous injection of the molecules to rats. Serial blood samples were drawn from the tail vein and assayed for native or pegylated IL-1ra by enzyme-linked immunosorbent assay (ELISA). The resulting subcutaneous plasma IL-1ra concentration vs. time curves are plotted in Figure 11. The subcutaneous

SUBSTITUTE SHEET

-48-

pharmacokinetic data (Table 3) reveal variable systemic availability for the pegylated muteins, related to the site and size of the PEG, and related to subcutaneous injection in non-optimized formulations. Table 3 also  
5 reveals a remarkable positive influence of pegylation on the mean residence time for subcutaneously injected IL-1ra. As the size of the PEG is increased, the mean residence time is generally increased. This increase is probably the result of molecule-size-related slower  
10 absorption through the lymphatic circulation (longer mean absorption times) as well as to delayed clearance after the pegylated molecule reaches the systemic circulation (plasma). This prolongation is profound and will improve the pharmacokinetic character of  
15 subcutaneous IL-1ra in humans.

SUBSTITUTE SHEET



-50-

**EXAMPLE X: PREPARATION OF 30kDa TNF INHIBITOR MUTEINS**

Cysteine has been substituted for the native residue at both the amino terminus and carboxyl terminus of the protein as well as all three glycosylation sites (residues 1, 14, 105, 111 and 161 as seen in Figure 2). Mutagenesis was performed on single stranded DNA from the 30kDa TNF inhibitor gene cloned into the bacteriophage M13. This gene is described in detail in U.S. Patent Application Serial No. 07/555,274 filed July 19, 1990. Mutagenesis was done as described by Kunkel et al. (1987) (see Example V). The mutagenized gene was isolated and subcloned into the expression vector pT5T (Eisenberg et al., Nature vol. 343, pg. 341 (1989)) and transformed into the T7 expression system strain E. coli BL21DE3. The 30kDa TNF inhibitor muteins were purified and refolded as described for native 30kDa TNF-inhibitor. See, the U.S. Patent Application Serial No. 07/555,274 filed July 19, 1990. Refolding includes the addition of cysteine to the solution containing the purified protein. The cysteine aids in the refolding and "bonds to" the free cysteine in the mutein.

**EXAMPLE XI: PEGYLATION OF 30kDa TNF INHIBITOR MUTEINS**

The c105 30kDa TNF Inhibitor mutein was exposed to a 6-fold molar excess of DTT in 50mM HEPES Ph 7.0 for 30 minutes at ambient temperature in order to remove an extra cysteine attached during the refolding process. The protein was then dialyzed against degassed 50mM HEPES pH 7.0 for 2 hours to remove the DTT. The c105 30kDa TNF inhibitor was then reacted with a 5 fold molar excess of pegylating reagent 1 (See Example 1A) for 2 hours at ambient temperature in 50mM HEPES pH 7.0. Approximately 60% of the mutein was converted to the pegylated form.

The c105 pegylation reaction mixture was loaded onto a superdex-75 FPLC column (Pharmacia) run at 0.25

-51-

ml/min in 50mM Tris pH 7.0, 100mM NaCl. Fractions containing c105-PEG 30kDa TNF-inhibitor were pooled and loaded on a TSK-2000SW HPLC column (Bio-Rad) run at 0.2ml/min in the same buffer. The fractions containing essentially pure c105-PEG 30kDa TNF-inhibitor, as determined by silver stained SDS-PAGE, were pooled and the protein concentration determined by Bio-Rad protein assay. See Figure 9.

The activity was determined using the murine L929 cell TNF cytotoxicity assay as described in U.S. Patent Application Serial No. 07/555,274 filed July 19, 1990.

**EXAMPLE XII: THE PREPARATION OF BIS-MALEIMIDO PEG**

The synthesis of the  $\alpha$ -(2-aminoethyl)  $\omega$ -aminopoly(oxyethylene) derivative of the PEG (hereinafter bisamino PEG) consisted of three steps: 1) sulfonation of the hydroxyl group using tresyl chloride as described by Nilson and Mosback (Nilson *et al.*, Methods in Enzymology vol. 104, pg. 56, Academic Press, Inc., N.Y., N.Y. (1984)), 2) substitution of the tresylated intermediate by phthalimide (Pillai *et al.*, J. Org. Chem. vol. 45, pg. 5364 (1980)), and 3) reduction of the phthalimide intermediate to amine by hydrazine hydrate (Pillai, *supra.*). Structures of the starting material, intermediates, and products are shown in Appendix 1 to this Example. Optimum conditions permitted a conversion of approximately 80% of the hydroxyl to amine as determined by 2,4,6-trinitrobenzene sulfonic acid (TNBSA) assay. The bisamino PEG can be purified from the reaction mixture by ion-exchange chromatography. This is a key step for removing reactive byproducts which can interfere with dimer formation.

The bisamino PEG was acylated using maleic anhydride (Butler *et al.*, Methods in Enzymology vol. 25, pg. 191, Academic Press, Inc., N.Y., N.Y. (1972))

SUBSTITUTE SHEET

-52-

and the resulting intermediate was cyclized to produce  $\alpha$ -(2-maleimidoethyl)- $\omega$ -maleimidopoly(oxyethylene) (Winsch et al., Biol. Chem. Hoppe-Seyler vol. 336, pg. 53 (1985)). This derivative reacts with sulfhydryls  
 5 via a Michael addition to form a stable thioether.

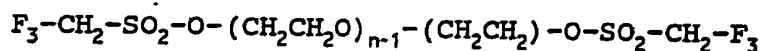
APPENDIX TO EXAMPLE XIIStarting Material

Generalized formula for polyethylene glycol PEG<sub>x</sub>

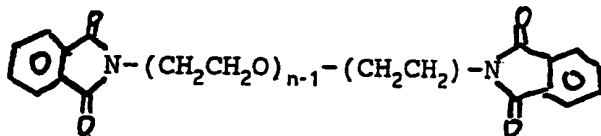


where x denotes the average molecular weight of the polymer in kilodaltons and n is the average number of repeating oxyethylene groups.

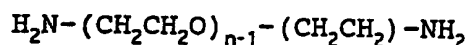
15 Intermediate 1

Intermediate 2

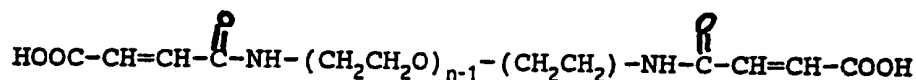
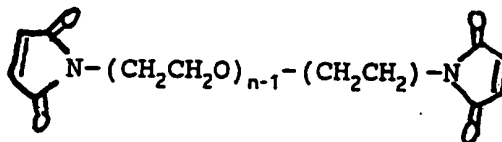
20

Intermediate 3

25

Intermediate 4

30

O-(2-maleimidoethyl)-O'-methyl-polyethylene glycol

35

EXAMPLE XIII: IN VIVO RESULTS FOR c105 30kDa TNF INHIBITOR PEG COMPLEXES

The inhibitory effects of four species of

5  
 SUBSTITUTE SHEET

-53-

pegylated c105 30kDa TNF inhibitor species were tested in vivo on two different TNF-stimulated physiological actions. One endpoint was the appearance of IL-6 in the plasma of mice that were injected intravenously with human recombinant TNF. The second endpoint was an increase in the migration of neutrophils into the peritoneal cavity after the intraperitoneal administration of human recombinant TNF.

10        **Experiment One.** The intravenous administration of c105 30kDa TNF inhibitor (PEG<sub>2,000</sub>, PEG<sub>3,500</sub>, PEG<sub>10,000</sub>) simultaneously with human recombinant TNF inhibits the induction of IL-6 in the plasma of mice.

15        BALB/c female mice weighing 20 to 23 g were used to measure the induction of plasma IL-6 levels by human recombinant TNF. In a preliminary experiment, the time course was plotted for the appearance IL-6 in the plasma after the intravenous administration via the tail vein of two doses of human recombinant TNF (Figure 20        12). Peak IL-6 levels occurred at two hours after stimulation with either 10 or 20 ug of human recombinant TNF per mouse. The lower dose was used in subsequent experiments.

25        The potency of c105 30kDa TNF inhibitor PEG<sub>2000</sub> dumbbell with that of the unpegylated c105 30kDa TNF inhibitor was compared. Human recombinant TNF was injected intravenously at a dose of 10 ug per mouse either alone or simultaneously with the TNF inhibitors. Four different reactions of inhibitors to TNF were 30        tested (Figure 13). The ratios were calculated based on protein content. Three mice were tested at each dose. Blood was collected at two hours after the intravenous injections. IL-6 levels were measured by ELISA.

35        Both the c105 30kDa TNF inhibitor and c105 30kDa TNF inhibitor PEG<sub>2000</sub> dumbbell caused nearly complete inhibition of IL-6 levels when administered at

SUBSTITUTE SHEET

-54-

10:1 and 5:1 ratios of inhibitor to TNF. At ratios of 1:1, the c105 30kDa TNF inhibitor PEG<sub>2000</sub> dumbbell caused 95% reduction of IL-6 levels stimulated by TNF alone, whereas the unpegylated c105 30kDa TNF inhibitor reduced IL-6 by only about 70%. The results of this experiment indicate that in the ratios tested, both the c105 30kDa TNF inhibitor and c105 30kDa TNF inhibitor PEG<sub>2000</sub> dumbbell were good inhibitors of this TNF-stimulated physiological parameter. At a ratio of 1:1, the c105 30kDa TNF inhibitor PEG<sub>2000</sub> dumbbell caused a greater percentage inhibition than the unpegylated inhibitor.

Two other species of pegylated c105 30kDa TNF inhibitor were tested. The inhibitory effects of c105 30kDa TNF inhibitor PEG<sub>3,500</sub> dumbbell and c105 30kDa TNF inhibitor PEG<sub>10,000</sub> dumbbell were tested on plasma IL-6 induction. The inhibitors were administered by intravenous injection simultaneously with human recombinant TNF at ratios of 1:1 (c105 30kDa TNF inhibitor dumbbell: TNF) (Figure 14). Three mice were tested in each of the two inhibitor-treated groups. Ten mice were injected with TNF alone. When administered in ratios of 1:1, no detectable IL-6 was measured in plasma of mice injected with either c105 30kDa TNF inhibitor PEG<sub>3,500</sub> dumbbell or c105 30kDa TNF inhibitor PEG<sub>10,000</sub> dumbbell, whereas a significant IL-6 response was elicited in the mice injected with human recombinant TNF alone.

The results of the two experiments show that c105 30kDa TNF inhibitor PEG<sub>2,000</sub>, PEG<sub>3,500</sub>, and PEG<sub>10,000</sub> dumbbells are good inhibitors of the induction of plasma IL-6 by human recombinant TNF when administered in a low ratio (1:1) relative to the stimulus.

Experiment Two. The subcutaneous administration of c105 30kDa TNF inhibitor (PEG<sub>3,500</sub>, PEG<sub>10,000</sub> and PEG<sub>20,000</sub>) simultaneously with the

SUBSTITUTE SHEET



-55-

intraperitoneal injection of human recombinant TNF inhibits the migration of neutrophils into the peritoneal cavity.

5 BALB/c female mice weighing 20 to 23 g were used to measure the migration of neutrophils into the peritoneal cavity after stimulation with human recombinant TNF. The technique used is that of Kim McIntyre et al. (J. Exp. Med. vol. 173, pg. 931 (1991)) and is described in brief herein. Mice are injected  
10 with TNF in a volume of 0.1 ml directly into the peritoneal cavity. Four hours later the mice are killed and an immediate post mortem lavage of the peritoneal cavity is performed. Four ml of Hank's Balanced Salt Solution (HBS) (calcium and magnesium free) is injected into the peritoneal cavity. The  
15 abdomen is gently massaged. The peritoneal fluid is recovered by aspiration with needle and syringe. The total number of peritoneal cells is counted on a Coulter counter. An aliquot of the cellular suspension is dried on a slide and stained with Diff-Kwik stain.  
20 A differential count of the cells is made by direct microscopic examination. One hundred cells are examined and classified as either neutrophils, lymphocytes, or macrophages.

25 In a preliminary experiment, the compared cellular make-up of the lavage fluid after intraperitoneal administration of either pyrogen-free saline or 7.5 ng human recombinant TNF was compared. TNF caused an increase in the percentage of neutrophils  
30 and in the absolute number of neutrophils present in the peritoneal lavage fluid. In saline-treated mice,  $9.4 \times 10^4$  neutrophils were recovered in the lavage fluid and made up only 2.3% of the total peritoneal cells. In TNF (7.5 ng)-treated mice, the total number  
35 of neutrophils was increased to  $12.9 \times 10^5$  and the percentage of neutrophils was increased to 19.7%.

The potency of unpegylated c105 30kDa TNF

5  
SUBSTITUTE SHEET

-56-

inhibitor with three pegylated species of c105 30kDa TNF inhibitor (PEG<sub>3,500</sub>, PEG<sub>10,000</sub> and PEG<sub>20,000</sub> dumbbells) was also compared. Keeping the TNF stimulus constant at 7.5 ng per mouse, the inhibitors were tested at ratios of 100:1, 10:1, and 1:1 (c105 30kDa TNF inhibitor species: TNF). The ratios were calculated based on protein content. The mice were injected subcutaneously with the c105 30kDa TNF inhibitor simultaneous to the intraperitoneal administration of TNF. Six mice were tested in each dose group. Four hours later the peritoneal lavage fluid was collected and analyzed. Values shown in Figure 15 are the percentage neutrophils in the peritoneal lavage fluid. The lowest ratio at which the unpegylated c105 30kDa TNF inhibitor and c105 30kDa TNF inhibitor PEG<sub>3,500</sub> dumbbell significantly inhibited neutrophil migration is 100:1. The c105 30kDa TNF inhibitor PEG<sub>10,000</sub> and PEG<sub>20,000</sub> dumbbells significantly inhibited neutrophil migration at a ratio of 10:1.

The results of this experiment show that c105 30kDa TNF inhibitor PEG<sub>3,500</sub>, PEG<sub>10,000</sub> and PEG<sub>20,000</sub> dumbbells are good inhibitors of the TNF-stimulated neutrophilic migration into the peritoneal cavity. The c105 30kDa TNF inhibitors PEG<sub>10,000</sub> and PEG<sub>20,000</sub> dumbbells were more potent than the unpegylated c105 30kDa TNF inhibitor and the c105 30kDa TNF inhibitor PEG<sub>3,500</sub>.

EXAMPLE XIV: PREPARATION AND BIOACTIVITY OF c105 30kDa TNF INHIBITOR PEG DB

Synthesis

Recombinant c105 30kDa TNF inhibitor 2-3 mg/ml is treated with a 4-fold molar excess of DTT for 2 hrs at ambient temperature. The TNF inhibitor is then dialyzed against de-gassed 50mM HEPES, pH 7.0, for 3 hrs at 4°C. To create the PEG-linked dumbbell, the TNF inhibitor is reacted with different molar ratios of the bis-maleimido PEG in 50 mM HEPES pH 7.0. TNF inhibitor

5  
SUBSTITUTE SHEET

-57-

is reacted with an equimolar ratio of bis-maleimido PEG. The reactions are incubated for 3-12 hrs at ambient temperature. After incubation, the PEG-linked TNF inhibitor dumbbell is purified from un-PEGylated and singly-PEGylated TNF inhibitor using MONO-S FPLC in 50 mM HOAc, pH 4.0, using a 260 mM, 310 mM and 350 mM NaCl step-gradient. The PEG-linked TNF inhibitor dumbbell elutes at the 310 mM NaCl step. Any remaining unPEGylated TNF inhibitor is removed by chromatography on Superdex75.

#### STEPWISE REAGENT ADDITION:

After DTT treatment and dialysis into 50 mM HEPES pH 7.0, an equimolar amount of bis-maleimido PEG is added, after 1.5 hrs incubation another equimolar amount of bis-maleimide PEG is added. This is incubated for 1.5 hours. This leads to an optimized level of PEG-linked dumbbell formation. Then a 2-fold excess of PEG reagent is added, giving a final PEG-TNF inhibitor ratio of 4:1. This is incubated for 2 hrs and the mixture is dialyzed into 50 mM acetate pH 4.0 for Mono-S chromatography. This yields a mixture which is primarily PEG-linked dimer and singly PEGylated TNF inhibitor. This allow for more efficient purification of PEG-linked dumbbell as there is a greater separation between singly PEGylated TNF inhibitor and dumbbell than dumbbell and unPEGylated TNF inhibitor.

This procedure optimized dumbbell formation, and allowed for more efficient purification.

#### STEP REACTION:

After DTT treatment and dialysis into 50 mM HEPES pH 7.0 an 8-fold molar excess of bis-maleimido PEG is added. This is incubated for 2 hrs at ambient temperature. This converts essentially all the TNF inhibitor to singly-PEGylated form. The singly-PEGylated TNF inhibitor is separated from PEG reagent

SUBSTITUTE SHEET

-58-

and any remaining unreacted TNF inhibitor using MONO-S HPLC in 50 mM acetate pH 4.0 with a NaCl gradient. The singly-PEGylated material is diafiltered into 50 mM HEPES, pH 7.0, and concentrated to 2-4 mg/ml. DTT treated TNF inhibitor is then added to allow formation of PEG-linked dumbbell. After 2 hrs, the PEG-linked dumbbell is purified using Mono-S HPLC. This method may be used to form a PEG-linked heterodumbbell by adding a second, distinct protein compound.

This procedure optimizes dumbbell formation and can be used for the formation of heterodumbbell compounds. However, this procedure is somewhat labor and time intensive.

#### Bioactivity of PEG-linked TNF inhibitor Dumbbells

The ability of c105 30kDa TNF inhibitor dumbbells to inhibit the cytotoxicity of TNF $\alpha$  in the murine L929 cell cytotoxicity assay was measured. This has allowed for the determination of an ED<sub>50</sub> for these molecules.

They are as follows:

Wild Type rTNF inhibitor	220 ng/ml
BMH-linked dumbbells	220 ng/ml
1900 MW PEG-dumbbells	4.1 ng/ml
3500 MW PEG-dumbbells	4.8 ng/ml
10,000 MW PEG-dumbbells	4.6 ng/ml
20,000 MW PEG-dumbbells	4.2 ng/ml

The TNF inhibitor dumbbells also have greatly increased activity in inhibiting the cytotoxicity of TNF $\beta$  in the L929 bio-assay. The ED<sub>50</sub> values against TNF $\beta$  are as follows:

Wild Type rTNF inhibitor	70 $\mu$ g/ml
3400 MW PEG-dumbbells	80 ng/ml
20,000 MW PEG-dumbbells	22 ng/ml

EXAMPLE XV: PHARMACOKINETICS OF PEGYLATED 30kDa TNF INHIBITOR

1. Intravenous pharmacokinetics for pegylated 30kDa TNF inhibitor

The pharmacokinetic character of several pegylated 30kDa TNF inhibitor molecules was determined following intravenous administration of the molecules to rats. Native or pegylated TNF inhibitor was injected as an intravenous bolus dose. Serial blood samples were drawn from the tail vein and assayed for non-pegylated or pegylated TNF inhibitor by enzyme-linked immunosorbent assay (ELISA). The resulting intravenous plasma TNF inhibitor concentration vs. time profiles (Figure 16) illustrate that pegylation has a pronounced influence on the disappearance of TNF inhibitor from the plasma after intravenous injection. Statistical moment theory (area under the curve [AUC] and area under the first moment curve [AUMC]) was used to interpret the data of Figure 16. The data indicate that pegylation prolongs the intravenous mean residence time of TNF inhibitor up to fifty-fold in the rat (Table 4). The intravenous mean residence time increases as the size of the attached PEG molecule increases (Table 4). Although not limited by theory, the prolongation of mean residence times may be explained based on conventional pharmacokinetic theory which states that the intravenous mean residence time for a drug is inversely related to the plasma clearance for the drug and directly related to the apparent volume of distribution for the drug. Pharmacokinetic analysis of the disappearance of pegylated TNF inhibitor's from the plasma indicates that the prolongation of half-lives is inversely related to a decreased plasma clearance for the pegylated molecules, compared to non-pegylated TNF inhibitor (Table 4). The decrease in plasma clearance is consistent with an anticipated size-related decrease in glomerular

-60-

filtration of the pegylated molecules by the kidneys. Because of the probable qualitative similarity between rats and humans in plasma clearance mechanisms for TNF inhibitor, it is apparent that pegylation will  
5 similarly improve the pharmacokinetic properties of TNF inhibitor in humans.

## 2. Subcutaneous pharmacokinetics for pegylated 30kDa TNF inhibitor

10 Absorption pharmacokinetics of pegylated TNF inhibitor have been characterized following subcutaneous injection of the molecules to rats. Serial blood samples were drawn from the tail vein and assayed for non-pegylated or pegylated TNF inhibitor  
15 concentration vs. time curves and are plotted in Figure 17. The subcutaneous pharmacokinetic data (Table 4) reveal variable systemic availability for the pegylated molecules, related to the size of the PEG, and related to subcutaneous injection in non-optimized  
20 formulations. Table 4 also reveals a positive influence of pegylation on the mean residence time for subcutaneously injected TNF inhibitor. As the size of the PEG is increased, the mean residence time is generally increased. While not limited by theory, this  
25 increase is likely the result of size-related slower absorption through the lymphatic circulation (longer mean absorption times) as well as delayed clearance once the pegylated molecule reaches the plasma. This prolongation is profound and will improve the  
30 pharmacokinetic character of subcutaneous TNF inhibitor in humans.

## EXAMPLE XVI. SOLUBILITY OF PEGYLATED PROTEINS

### IL-1ra

35 Results of a solubility study are shown in Figure 18. Solubility curves are shown for three different preparations of IL-1ra, and c84 IL-1ra

SUBSTITUTE SHEET

-61-

PEG<sub>8500</sub>. The experiments were performed at 37°C in a microliter plate with all proteins at 160 mg/ml. The plate was sealed with a cover and then read in a plate reader at 405 nm at various time points. An increase in absorbance is an indication of protein precipitation. There is clearly a decrease in the amount of protein falling out of solution for the PEGylated sample relative to native IL-1ra.

#### 30kDa TNF inhibitor

Native 30kDa TNF inhibitor cannot be concentrated to more than 5 mg/ml. Following PEGylation, the solubility was increased at least 5 fold.

#### EXAMPLE XVII: PREPARATION OF IL-2 INHIBITOR HETERODUMBELL

A PEG-linked heterodumbbell may be formed by first pegylating IL-2 $\alpha$  in the presence of an excess of bis-maleimido PEG. The singly pegylated IL-2 $\alpha$  may be purified and IL-2 $\beta$  added to react with the remaining reactive maleimide group to form the heterodimer.

Potential sites for PEGylation of IL-2 $\alpha$  include both the amino and carboxyl terminal residues, the two N-linked glycosylation sites, as well as the native free cysteine residue in the molecule. Cysteine residue 192 in the soluble extracellular domain of IL-2 $\alpha$  has been identified as being uninvolved in disulfide bonding. (Miedel *et al.* BBRC, vol. 154, pg. 372 (1988)). This cysteine residue lies in an epitope of an anti-IL-2 $\alpha$  monoclonal antibody that does not affect IL-2 binding to IL-2 $\alpha$  (Lorenzo *et al.* J. Immunology, vol. 147, pg. 2970 (1991)). This indicates this residue is a likely candidate for PEGylation without affecting the activity of IL-2 $\alpha$ .

For IL-2 $\beta$ , the potential sites include both the amino and carboxyl termini, the 4 N-linked glycosylation sites and a region (a.a. #108-118) that

-62-

is similar to a region of biological significance in the murine erythropoietin receptor (Yoshimura, Longmore and Lodish, Nature, vol. 348, pg. 647 (1990)). Point mutational analysis of other residues in the receptors may also allow for identification of other sites of PEGylation that yield optimal properties in the heterodumbbell molecule.

10 **EXAMPLE XVIII: PREPARATION OF HETERODUMBBELLS WHICH INHIBIT THE CLASSICAL PATHWAY OF COMPLEMENT SYSTEM**

Many proteins which regulate the complement system have been identified and cloned. Some of them are membrane proteins. One of the membrane proteins is called CR1 (complement receptor 1). The soluble form of CR1 has been examined in in vivo models of diseases. The complement inhibitor inhibits post-ischemic myocardial inflammation and necrosis (Weisman et al. Science, vol. 149, pg. 145-151, 1990), reversed passive arthus reaction (Yet et al. J. Immunology, vol. 146, pg. 250-256 (1991)), and allograft rejection (Pruitt et al. J. Surgical Research, vol. 50, pp. 350-355 (1991)).

The soluble CR1 binds to C3b and C4b. It consists of 30 short consensus repeat sequences (SCR). Most of SCR contain one possible glycosylation site and four cysteines. All of the cysteines are likely be to involved in disulfide bonding. SCRs 1-4 are found to be involved in C4b binding. Two separate portions of CR1, SCRs 8-11 and SCRs 15-18, are involved in C3b binding (Klickstein et al. J. Exp. Med., vol. 168, pp. 1699-1717 (1988); Kalli et al. J. Exp. Med. vol. 174, pp. 1451-1460 (1991)). According to this invention, it is possible to produce a heterodumbbell which contains the C4b binding domain and the C3b binding domain of CR1.

The SCRs which contain C4b binding and C3b binding domains of CR1 may be cloned using PCR. These SCRs will be SCRs 1 through 5 (C4b binding) and SCRs 8



-63-

through 12 (C3b binding). The genes encoding these  
SCRs may be cloned in E. coli expression vector. The  
E. coli expressed-proteins may be refolded and  
purified. The success of refolding can be analyzed by  
5 the capacity to bind polyC3b or polyC4b. In vitro  
mutagenesis of these genes may be carried out to  
substitute native amino acid residues to cysteine.  
These cysteines may then be used to link the PEG  
molecule. Possible sites for PEGylation will be the  
10 glycosylation site or carboxyl terminal residue of SCR  
5 and SCR 12. The C4b binding and C3b binding domains  
which contain an extra cysteine to the carboxyl  
terminal residue could be constructed and used for  
linking PEG molecule. The PEG linked heterodumbbell  
15 may be produced by the two step process of Example XIV.  
Purification may be carried out by ion-exchange  
chromatography.

20 EXAMPLE XIX: SYNTHESIS OF AN IL-1ra BIS(MALEIMIDE)-  
PLATELET DERIVED GROWTH FACTOR PEPTIDE PEG  
HETERODUMBBELL

The platelet derived growth factor (PDGF)  
peptide YGRPRESGKKRKRLKPT is described in Khachigian,  
25 L. et al. J. Biol. Chem., vol. 267, pg. 1660-1666  
(1991). A terminal C was added to permit coupling to  
the maleimide.

The heterodumbbell was synthesized in two  
steps. In the first step, 1.6 nanomoles of IL-1ra  
30 suspended in 3  $\mu$ l of 0.05 M Hepes buffer, pH 7.5, was  
mixed with 6.4 nanomoles of bis-maleimido PEG<sub>1900</sub>  
dissolved in 11  $\mu$ l of the same buffer. This reaction  
was carried out for 30 min at 20°C. In the second  
step, 32 nanomoles of the PDGF peptide dissolved in 4  
35  $\mu$ l of 0.2 M sodium phosphate buffer, pH 7.0, was added  
to the products of the first reaction. The reaction  
was allowed to proceed for 1 hr at 20°C. The reaction  
was then terminated by the addition of an equal volume  
of SDS-PAGE sample buffer containing 30  $\mu$ moles of 2-

-64-

mercaptoethanol.

Samples of the products of the first step of the reaction and the products of the complete two-step reaction, as well as appropriate molecular weight markers, were separated by SDS-PAGE on a 15% polyacrylamide gel which was then stained with Coomassie Blue. The two-step reaction gave an additional band consistent with the predicted size of the heterodumbbell. Approximately 33% of the starting IL-1ra was converted to heterodumbbell by the two-step reaction.

The products of the first step of the reaction can be isolated by cation exchange chromatography on the resin S-Sepharose. The heterodimer may be isolated by cation exchange chromatography due to the abundance of basic amino acids in the peptide.

It is to be understood that the application of the teachings of the present invention to a specific expression system or pegylation reagent will be within the capabilities of one having ordinary skill in the art in light of the teachings contained herein. Thus, it will be apparent to those of ordinary skill in the art that various modifications and variations can be made in the process and products of the present invention. It is intended that the present invention covers these modifications and variations provided they come within the scope of the appended claims and their equivalents.

30

TABLE 3

Pegylated Interleukin-1 Inhibitor Pharmacokinetics\* in rats

type of pegylation =		none	single	single	single	single	single	double	dumbbell	dumbbell	dumbbell	dumbbell	dumbbell
PEG location =		[wild	84	84	116	116	116	84,116	84	84	84	84	116
PEG size =		type]	8500	8500	5000	5000	8500	8500	**3400	**10000	**20000	3400	**10000
intravenous pharmacokinetics													
Vd initial, mL/kg =		24	51	72	90	52	63	61	50	45	48	58	40
Vd steady-state, mL/kg =		110	130	310	290	150	110	130	64	64	68	60	44
plasma clearance, mL/min/kg =		7.4	3.3	5.2	4.2	6.8	3.6	2.8	0.30	3.6	0.96	0.37	1.4
plasma mean residence time (L.V.), hr =		0.25	0.65	1.0	1.2	0.37	0.52	0.76	3.5	0.30	1.2	2.7	0.52
t1/2 initial phase, min =		1.7	8.8	5.3	11	4.6	11	13					
t1/2 intermediate phase, min =		31	57	38	95	36	36	59	100	7.8	6.2	110	20
t1/2 terminal phase, hr =		2.8	3.0	2.3	6.9	2.3	3.1	7.0	7.4	0.74	0.87	5.7	2.6
subcutaneous pharmacokinetics													
systemic availability, % =		68	37	74	99	28	30	30	25	20	7.9	3.3	4.7
maximum plasma IL-1ra, ng/ml =		1300	530	940	2100	360	540	630	1700	560	630	210	230
time of maximum plasma IL-1ra, hr =		0.82	1.8	2.5	4.0	1.5	1.3	1.8	12	3.0	3.1	5.4	0.51
plasma mean residence time (s.c.), hr =		2.7	8.1	6.4	7.5	6.5	8.5	9.0	21	3.7	6.0	9.7	7.3
mean absorption time, hr =		2.4	7.4	5.4	6.3	6.1	8.0	8.2	18	3.4	4.8	7.0	6.7

\*each pharmacokinetic parameter is expressed as the mean for two rats, except for C84-PEG(3400) dumbbell s.c. dose, for which n=1

\*\*pharmacokinetic information is scaled from 1.5 mg/kg to 3 mg/kg dose

-66-  
TABLE 4

type of glycation =	none	none	single	single	dumbbell	dumbbell	dumbbell
PEG location =	[wild	C105	C105	C105	C105	C105	C105
PEG size =	type]	0	8500	20000	3500	10000	20000
<b>intravenous pharmacokinetics</b>							
number of animals =		2	2	2	2	2	2
Vd steady-state, mL/kg =		230	240	140	340	93	130
plasma clearance, mL/min/kg =		11.0	1.7	0.17	0.82	0.16	0.11
plasma mean residence time (i.v.), hr =		0.37	2.3	14	6.8	10	19
<b>subcutaneous pharmacokinetics</b>							
number of animals =	2		2	1	1	2	2
systemic availability, % =	99*		25	65	29	39	34
plasma mean residence time (s.c.), hr =	3.5		7.0	20	12	17	30
mean absorption time, hr =	3.1*		4.7	6.0	5.2	7.0	11

\* referenced to C105 intravenous pharmacokinetics

-67-

CLAIMS

1. A substantially purified compound of the formula  $R_1$ -X- $R_2$  wherein:  
5            $R_1$  and  $R_2$  are polypeptidic groups; and  
            X is a non-peptidic polymeric spacer.
2. The substantially purified compound of claim 1 wherein  $R_1$  and  $R_2$  are the same group.  
10
3. The substantially purified compound of claim 1 wherein  $R_1$  and  $R_2$  are different groups.
4. The substantially purified compound of claim 2 wherein  $R_1$  and  $R_2$  are selected from the group consisting of:  
15           IL-1 receptor antagonist, 30kDa TNF inhibitor, 40kDa TNF inhibitor, IL-2 receptor, CR1, PDGF receptor, IL-2, MCSF receptor, EGF receptor, IL-5 receptor, IL-3  
20   receptor, GMCSF receptor, T-cell receptor, HLA-I, HLA-II, NGF receptor, IgG ( $V_H$ ,  $V_L$ ), CF40, CD27, IL-6 receptor, Integrins CR3,  $VLA_4$ , ICAM, and VCAM, CR2, GMP140 Lec domain, Laminin binding protein, Laminin fragments, Mannose binding protein, exon 6 peptide of  
25   PDGF, and proteases.
5. The substantially purified compound of claim 4 wherein  $R_1$  and  $R_2$  are selected from the group consisting of: interleukin-1 receptor antagonist,  
30   30kDa tumor necrosis factor inhibitor, interleukin-2 receptor, and CR1.
6. The substantially purified compound of claim 5 wherein  $R_1$  and  $R_2$  are interleukin-1 receptor  
35   antagonist.
7. The substantially purified compound of claim 6

SUBSTITUTE SHEET

-68-

wherein said interleukin-1 receptor antagonist is modified to contain at least one non-native cysteine residue.

- 5        8.        The substantially purified compound of claim 7 wherein said non-native cysteine residue are found at amino acid residue sites selected from the group consisting of 0, 84, 6, 8, 9 and 141.
- 10       9.        The substantially purified compound of claim 5 wherein  $R_1$  and  $R_2$  are 30kDA tumor necrosis factor inhibitor.
- 15       10.       The substantially purified compound of claim 9 wherein said 30kDA tumor necrosis factor inhibitor is modified to contain at least one non-native cysteine residue.
- 20       11.       The substantially purified compound of claim 10 wherein said non-native cysteine residue are found at amino acid residue sites selected from the group consisting of 1, 14, 105, 111 and 165.
- 25       12.       The substantially purified compound of claim 1 where  $R_1$  and  $R_2$  are interleukin-2 receptors.
- 30       13.       The substantially purified compound of claim 12 wherein said interleukin-2 receptor is modified to contain at least one non-native cysteine residue.
- 35       14.       The substantially purified compound of claim 12 wherein  $R_1$  is IL-2 $\alpha$  and  $R_2$  is IL-2 $\beta$ .
- 15       15.       The substantially purified compound of claim 1 wherein  $R_1$  and  $R_2$  are CR1.
16.       The substantially purified compound of claim 15

SUBSTITUTE SHEET

-69-

wherein said CR1 is modified to contain at least one non-native cysteine residue.

- 5 17. The substantially purified compound of claim 3 wherein  $R_1$  and  $R_2$  are selected from the group consisting of:

10 IL-1 receptor antagonist, 30kDa TNF inhibitor, 40kDa TNF inhibitor, IL-2 receptor, CR1, PDGF receptor, IL-2, MCSF receptor, EGF receptor, IL-5 receptor, IL-3 receptor, GMCSF receptor, T-cell receptor, HLA-I, HLA-II, NGF receptor, IgG ( $V_H$ ,  $V_L$ ), CF40, CD27, IL-6 receptor, Integrins CR3,  $VLA_\alpha$ , ICAM, and VCAM, CR2, GMP140 Lec domain, Laminin binding protein, Laminin fragments, Mannose binding protein, exon 6 peptide of PDGF, and proteases.

- 20 18. The substantially purified compound of claim 17 wherein  $R_1$  and  $R_2$  are selected from the group consisting of: interleukin-1 receptor antagonist; 30kDa tumor necrosis factor inhibitor; interleukin-2 receptor, and CR1.

- 25 19. The substantially purified compound of claim 1 wherein X is selected from the group consisting of: polyethylene glycol, polypropylene glycol, polyoxyethylated glycerol, dextran, colonic acids, poly  $\beta$ -amino acids, and carbohydrate polymers.

- 30 20. The substantially purified compound of claim 19 wherein X is polyethylene glycol.

- 35 21. The substantially purified compound of claim 1 wherein said peptidic binding groups  $R_1$  and  $R_2$  are covalently bonded to said non-peptidic polymeric spacer by thio-ether bonds.

22. The substantially purified compound of claim 21

SUBSTITUTE SHEET

-70-

wherein cysteine residues of said peptidic binding groups  $R_1$  and  $R_2$  are part of said thio-ether bond.

5        23.        The substantially purified compound of claim 1 wherein said polypeptidic binding groups are attached to said non-peptidic polymeric spacer via a cysteine residue.

10       24.        The substantially purified compound of claim 23 wherein said cysteine is not native to the naturally occurring polypeptidic binding group.

15       25.        The substantially purified compound of claim 1 wherein said compound has biological properties distinct from those of  $R_1$  and  $R_2$  alone.

26.        The substantially purified compound of claim 2 wherein  $R_1$  and  $R_2$  are receptors.

20       27.        The substantially purified compound of claim 2 wherein  $R_1$  and  $R_2$  are receptor antagonists.

25       28.        The substantially purified compound of claim 2 wherein  $R_1$  and  $R_2$  are binding proteins.

29.        The substantially purified compound of claim 2 wherein  $R_1$  and  $R_2$  are selected from the group consisting of receptor antagonists, binding proteins and receptors.

30       30.        The substantially purified compound of claim 1 wherein  $R_1$  and  $R_2$  are biologically active portions of proteins selected from the group consisting of:  
interleukin-1 receptor antagonist, 30kDA tumor necrosis  
35       factor inhibitor, IL-2 receptor, and CR1.

31.        A substantially purified compound of the



-71-

formula  $R_1-X-R_2$  wherein:

$R_1$  and  $R_2$  are polypeptidic groups that are proteins or biologically-active portions of proteins selected from the group consisting of interleukin-1  
5 receptor antagonist, 30kDa tumor necrosis factor inhibitor, IL-2 receptors and CR1;

X is a non-peptidic polymer spacer selected from the group consisting of: polyethylene glycol, polypropylene glycol, polyoxyethylated glycerol,  
10 dextran, colonic acids, poly  $\beta$ -amino acids, and carbohydrate polymers, and

$R_1$  and  $R_2$  are covalently attached to X by thio-ether bonds.

15 32. A pharmaceutical composition comprised of an effective amount of the substantially purified compound of claim 1 in a pharmacologically acceptable carrier.

20 33. A method for treating medical indications wherein patients in need thereof are administered the pharmaceutical composition of claim 32.

25 34. A method for the preparation of substantially purified therapeutically-valuable compounds, comprised of the formula  $R_1-X-R_2$ , wherein  $R_1$  and  $R_2$  are cysteine-containing polypeptidic groups and X is a non-peptidic polymeric species comprised of:

30 reacting a non-peptidic polymeric group having at least two reactive groups capable of forming thio-ether bonds when reacted with cysteine amino acid residues with a cysteine containing polypeptidic group; isolating and purifying said compound.

35 35. The method of claim 34 wherein said polypeptidic group is selected from the group consisting of: interleukin-1 receptor antagonist, 30kDa tumor necrosis factor inhibitor, interleukin-2

SUBSTITUTE SHEET

-72-

receptor, and CR1.

36. The method of claim 34 wherein said non-peptidic polymeric group is comprised of a polymeric unit selected from the group consisting of:  
5 polyethylene glycol, polypropylene glycol, polyoxethylated glycerol, dextran, colonic acid, poly  $\beta$ -amino acids and carbohydrate polymers.

10 37. The method of claim 34 wherein said non-peptidic polymeric group is bis-maleimido polyethylene glycol.

38. A method for the preparation of substantially purified therapeutically valuable compounds comprised of the formula  $R_1-X-R_2$ , wherein  $R_1$  and  $R_2$  are different, comprised of:

15 reacting a non-peptidic polymeric group having at least two reactive groups capable of forming thio-ether bonds when reacted with cysteine amino acid residues with a cysteine containing polypeptidic group  $R_1$  to form a complex  $R_1-X$ ;

20 reacting complex  $R_1-X$  with a cysteine containing polypeptidic group  $R_2$  to form said compound;

25 isolating and purifying said compound.

39. The method of claim 38 wherein  $R_1$  and  $R_2$  are selected from group consisting of: interleukin-2 receptor antagonist, 30kDa tumor necrosis factor inhibitor, interleukin-2 receptor, CR-1.  
30

40. The method of claim 38 wherein said non-peptidic polymeric group is comprised of a polymeric unit selected from the group consisting of:  
35 polyethylene glycol, polypropylene glycol, polyoxethylated glycerol, dextran, colonic acid, poly  $\beta$ -amino acids, and carbohydrate polymers.

-73-

41. The method of claim 38 wherein said non-peptidic polymeric group is bismaleimide polyethylene glycol.
- 5 42. A substantially purified compound prepared by the method of claim 34.
43. A substantially purified compound prepared by the method of claim 38.
- 10 44. Native interleukin-1 receptor antagonist wherein cysteine residue 116 is covalently attached to a non-peptidic polymer.
- 15 45. The native interleukin-1 receptor antagonist of claim 44 wherein said polymer is monomethoxy polyethylene glycol.
- 20 46. The native interleukin-1 receptor antagonist of claim 44 wherein two native interleukin-1 receptor antagonists are bound to said non-peptidic polymer.
- 25 47. A mutein of interleukin-1 receptor antagonist wherein native interleukin-1 receptor antagonist is modified to contain at least one non-native cysteine residue.
- 30 48. The mutein of claim 47 wherein said non-native cysteines are found at amino acid residue sites selected from the group consisting of 0, 84, 6, 8, 9 and 141.
- 35 49. The mutein of claim 47 wherein the cysteine at position 116 of native interleukin-1 receptor antagonist is replaced with another amino acid residue.
50. The mutein of claim 47 wherein at least one

**SUBSTITUTE SHEET**

-74-

cysteine residue is covalently attached to non-peptidic polymer.

51. The mutein of claim 49 wherein at least one of  
5 said non-native cysteine residues is covalently  
attached to a non-peptidic polymer.

52. The mutein of claim 47 wherein two muteins are  
bound to a non-peptidic polymer.

10

53. The mutein of claim 48 wherein two muteins are  
bound to a non-peptidic polymer.

15

54. A mutein of 30kDa tumor necrosis factor  
inhibitor wherein native 30kDa tumor necrosis factor  
inhibitor is modified to contain at least one non-  
native cysteine residue.

20

55. The mutein of claim 54 wherein said non-native  
cysteine is found at amino acid residue sites selected  
from the group consisting of 1, 14, 105, 111 and 165.

25

56. The mutein of claim 54 wherein at least one of  
said non-native cysteine residues is covalently  
attached to a non-peptidic polymer.

57. The mutein of claim 54 wherein two muteins are  
bound to a non-peptidic polymer.

30

58. A method for the preparation of  
therapeutically- valuable polypeptides having an  
increased apparent molecular weight comprised of:

35

altering the gene coding for said polypeptide  
by site directed mutagenesis to create a gene coding  
for a mutein of said polypeptide containing at least  
one  
non-native cysteine residue;

-75-

expressing said altered gene in a bacterial  
expression system;  
purifying said expressed mutein;  
refolding said mutein in the presence of a  
5    sulfhydryl-containing compound;  
reducing said refolded mutein with a mild  
reducing agent to free said non-native cysteines; and  
reacting said mutein with a non-peptidic  
polymer group containing an activating group that is  
10   sulfhydryl specific.

1/20

1	R	P	S	G	R	K	S	S	K	M	Q	A	F	R	I
16	W	D	V	N	Q	K	T	F	Y	L	R	N	N	Q	L
36	Q	G	P	N	V	N	L	E	E	K	I	D	V	V	P
56	L	F	L	G	I	H	G	G	K	M	C	L	S	C	V
76	T	R	L	Q	L	E	A	V	N	I	T	D	L	S	E
96	K	R	F	A	F	I	R	S	D	S	G	P	T	T	S
116	C	P	G	W	F	L	C	T	A	M	E	A	D	Q	P
	M	P	D	E	G	V	M	V	T	K	F	Y	F	Q	E

FIG.1

2/20

Asp	Ser	Val	Cys	Pro	Gln	Gly	Lys	Tyr	Ile	His	Pro	Gln	Asn	Ser	Ile	Cys	Cys	Thr	20
Lys	Cys	His	Lys	Gly	Thr	Tyr	Leu	Tyr	Asn	Asp	Cys	Pro	Gly	Pro	Gln	Asp	Thr	Asp	40
Cys	Arg	Glu	Cys	Glu	Ser	Gly	Ser	Phe	Thr	Ala	Ser	Glu	Asn	His	Leu	Arg	His	Cys	60
Ser	Cys	Ser	Lys	Cys	Arg	Lys	Glu	Met	Gly	Gln	Val	Glu	Ile	Ser	Ser	Cys	Thr	Val	80
Arg	Asp	Thr	Val	Cys	Gly	Cys	Arg	Lys	Asn	Gln	Tyr	Arg	His	Tyr	Trp	Ser	Glu	Asn	100
Phe	Gln	Cys	Phe	Asn	Cys	Ser	Leu	Cys	Leu	Asn	Gly	Thr	Val	His	Leu	Ser	Cys	Gln	120
Lys	Gln	Asn	Thr	Val	Cys	Thr	Cys	His	Ala	Gly	Phe	Phe	Leu	Arg	Glu	Asn	Glu	Cys	140
Ser	Cys	Ser	Asn	Cys	Lys	Lys	Ser	Leu	Glu	Cys	Thr	Lys	Leu	Cys	Leu	Pro	Gln	Ile	160
161																			
Asn																			

FIG.2

3/20

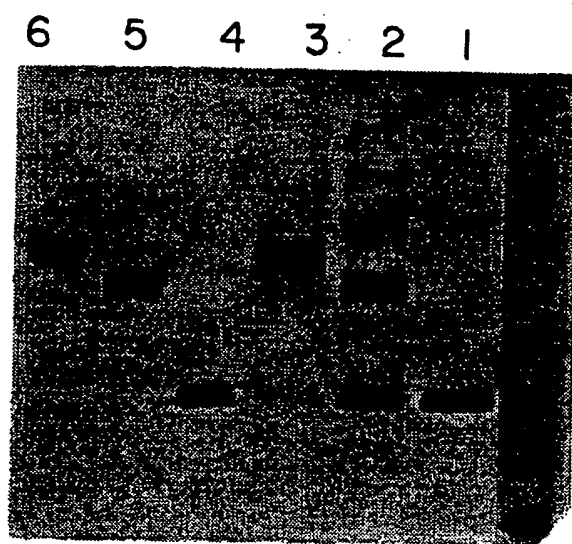


FIG.3



4/20

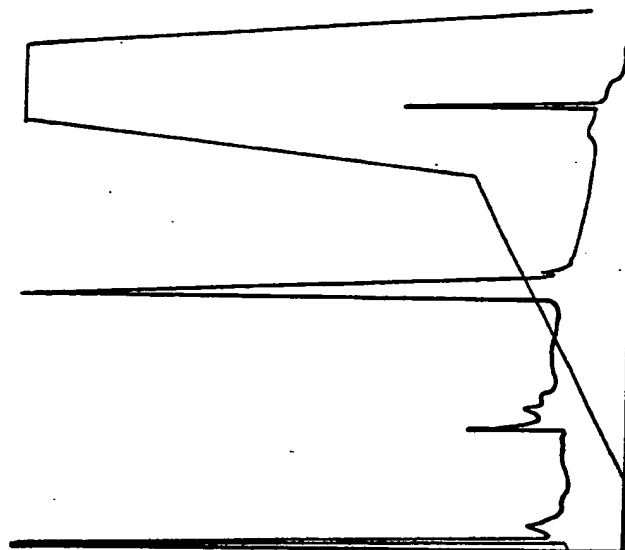


FIG. 4B

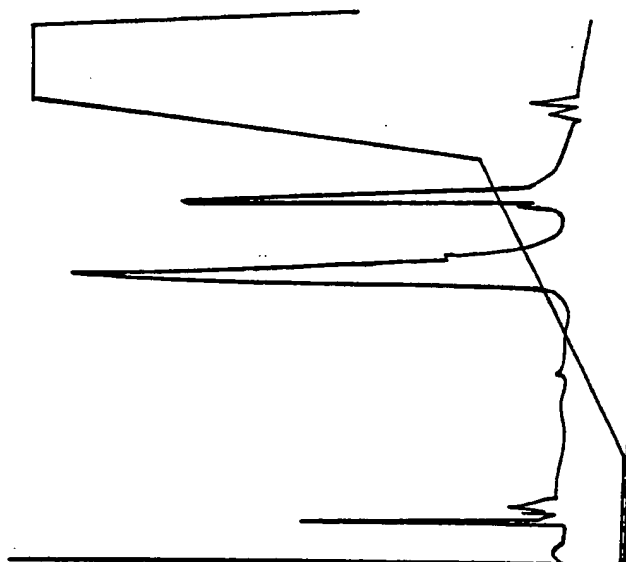


FIG. 4A

5/20

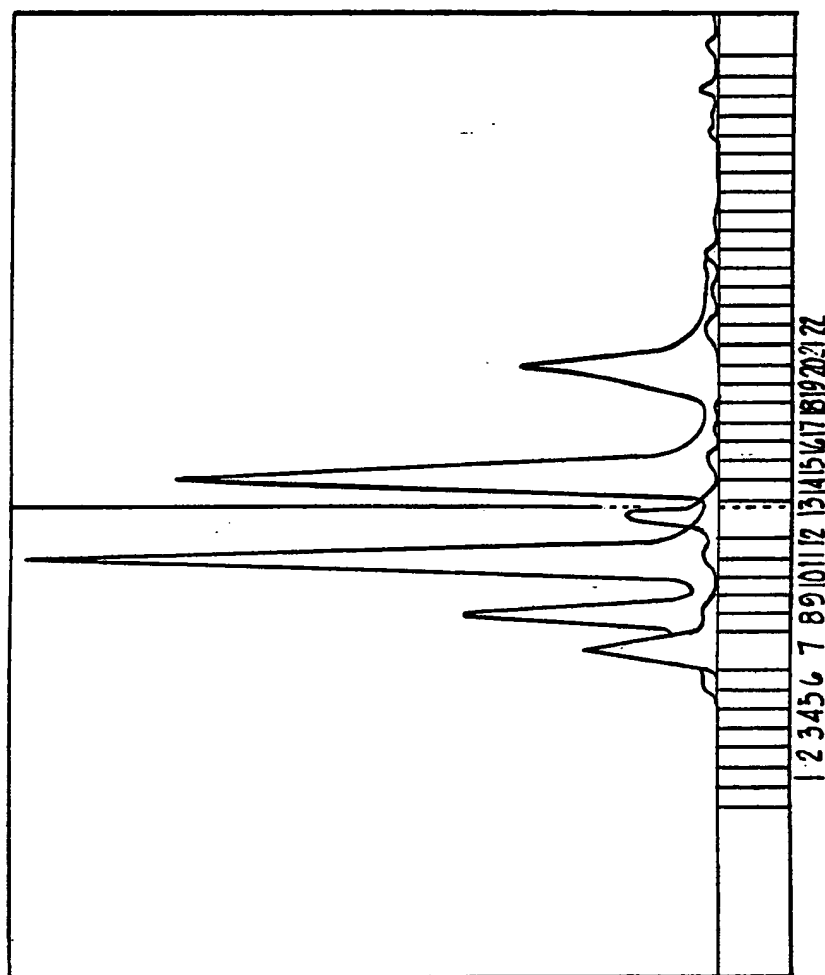


FIG. 5

SUBSTITUTE SHEET

6/20

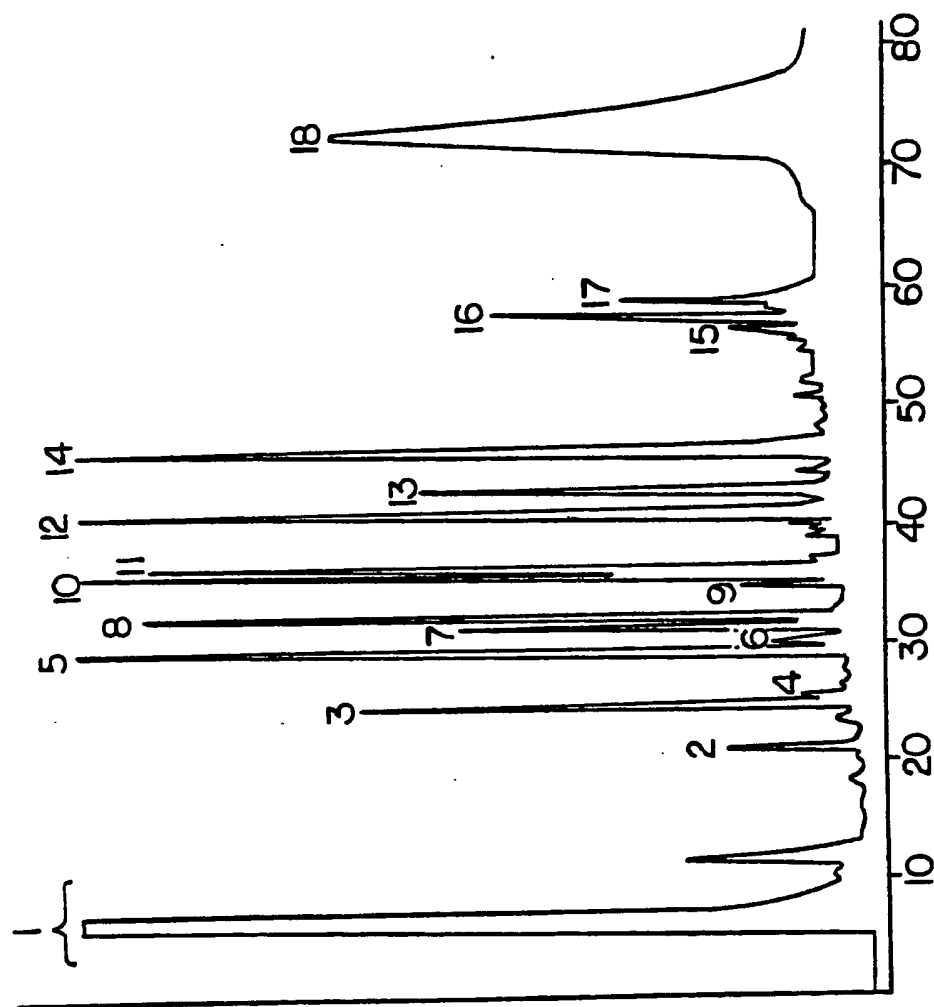


FIG. 6

SUBSTITUTE SHEET

7/20

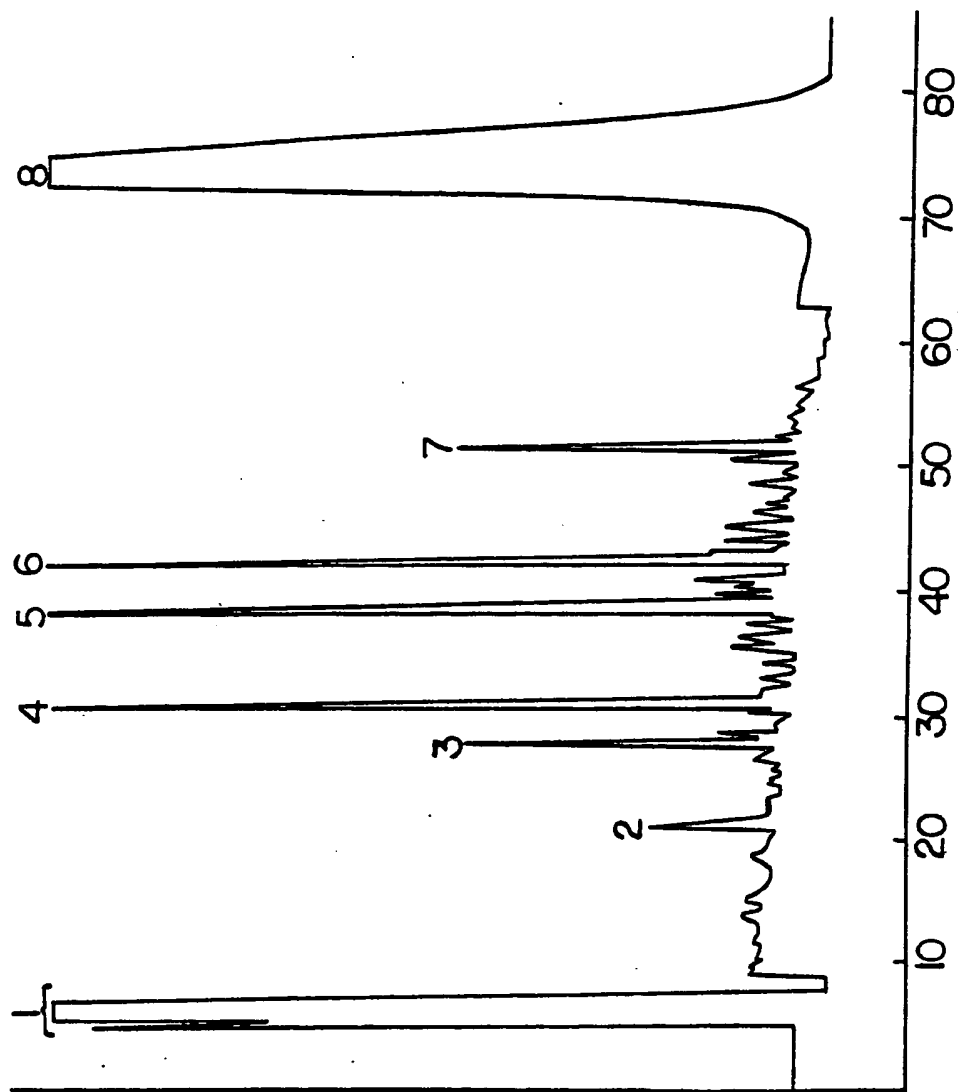


FIG. 7

8/20

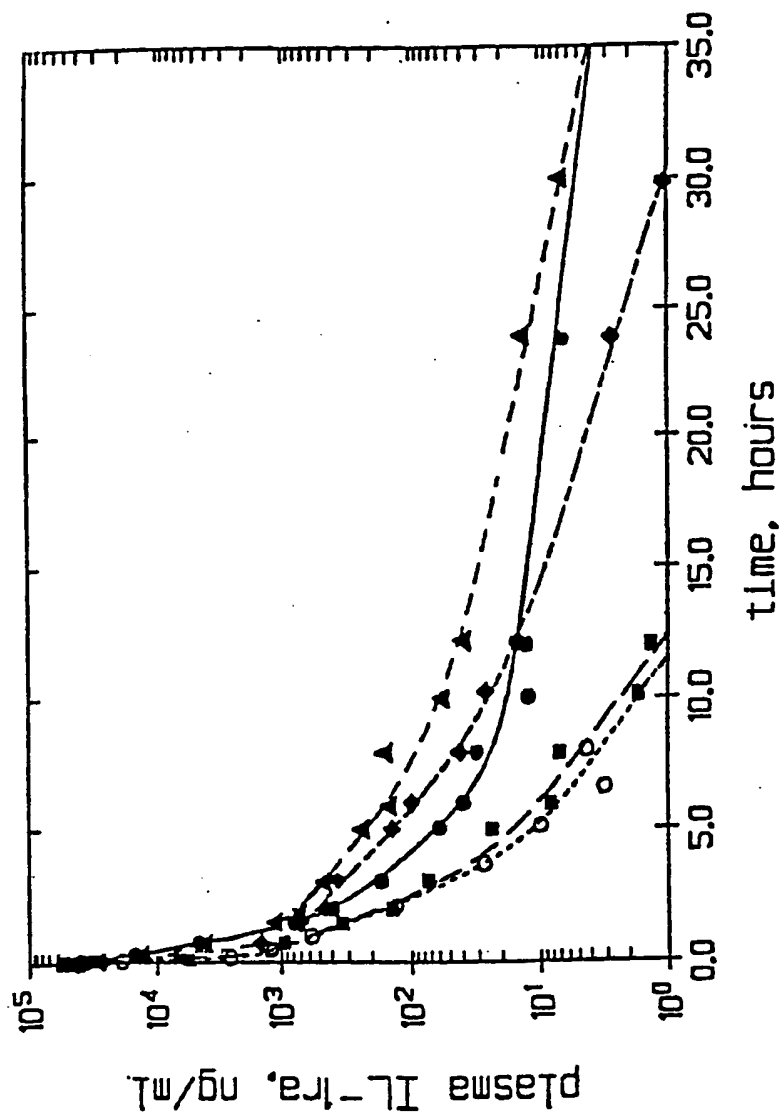


FIG.8

9/20

MW START 15 16 17 18 19 20 21 22

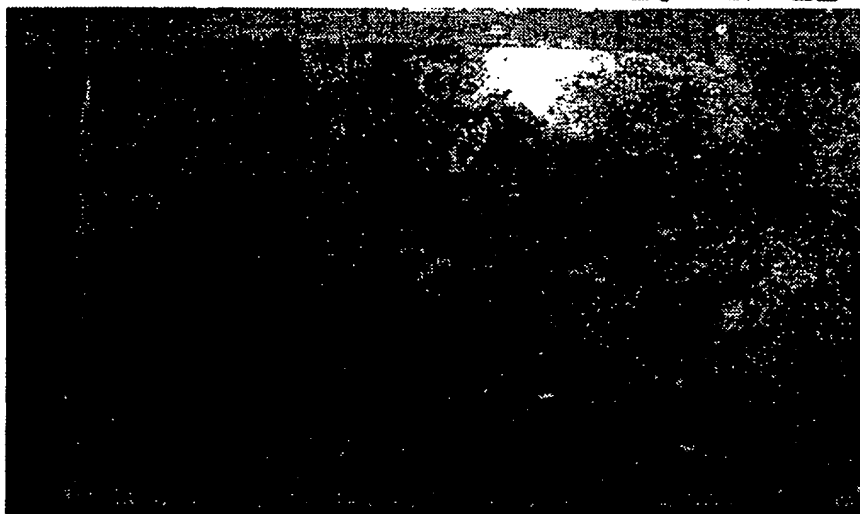


FIG. 9

SUBSTITUTE SHEET

10/20

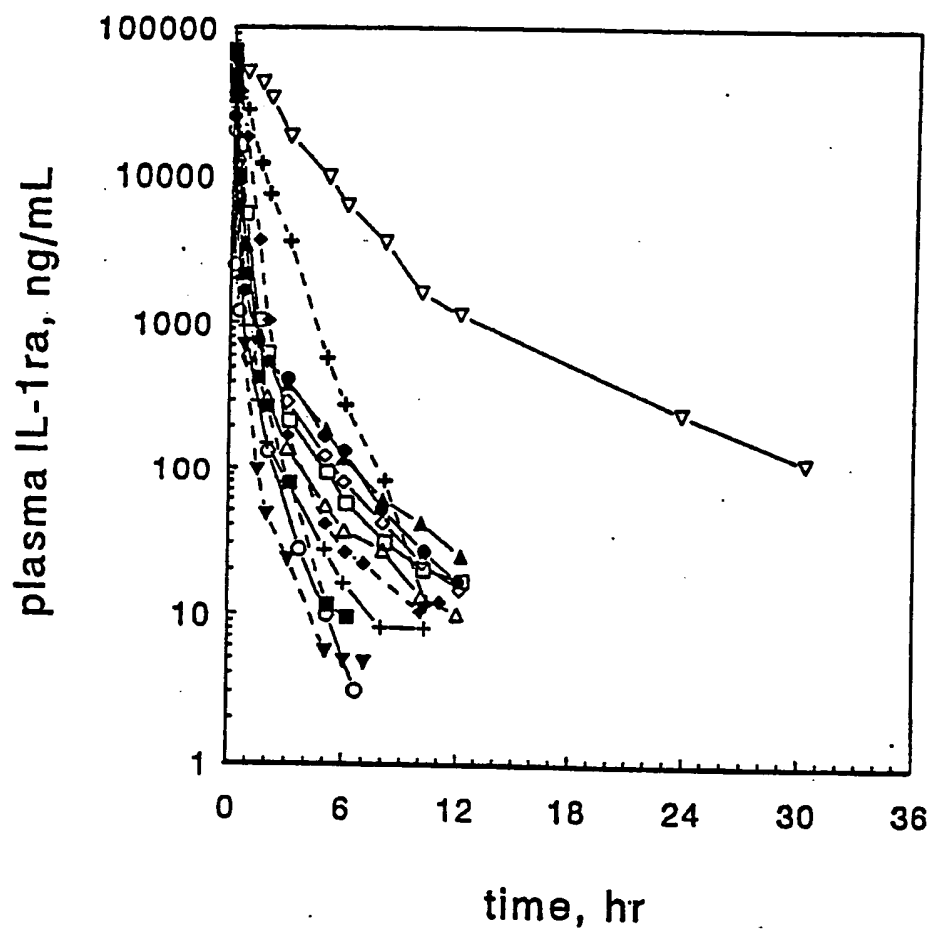


FIG.10

11/20

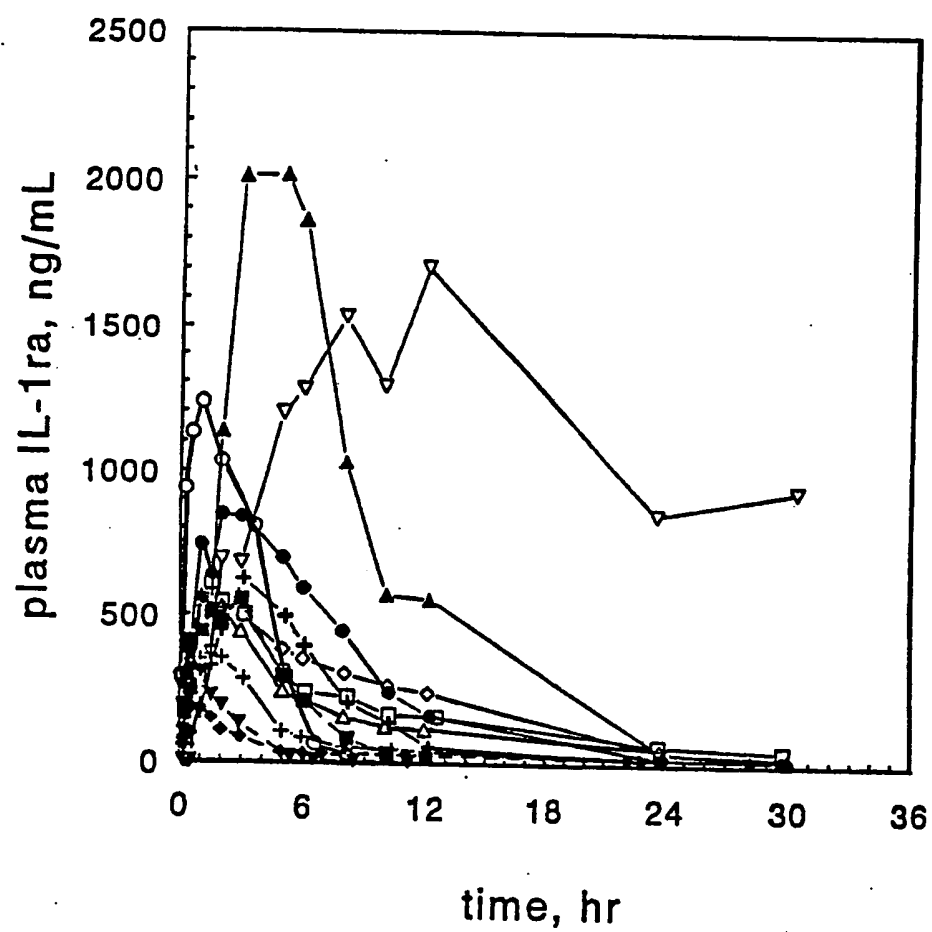


FIG.11



12/20

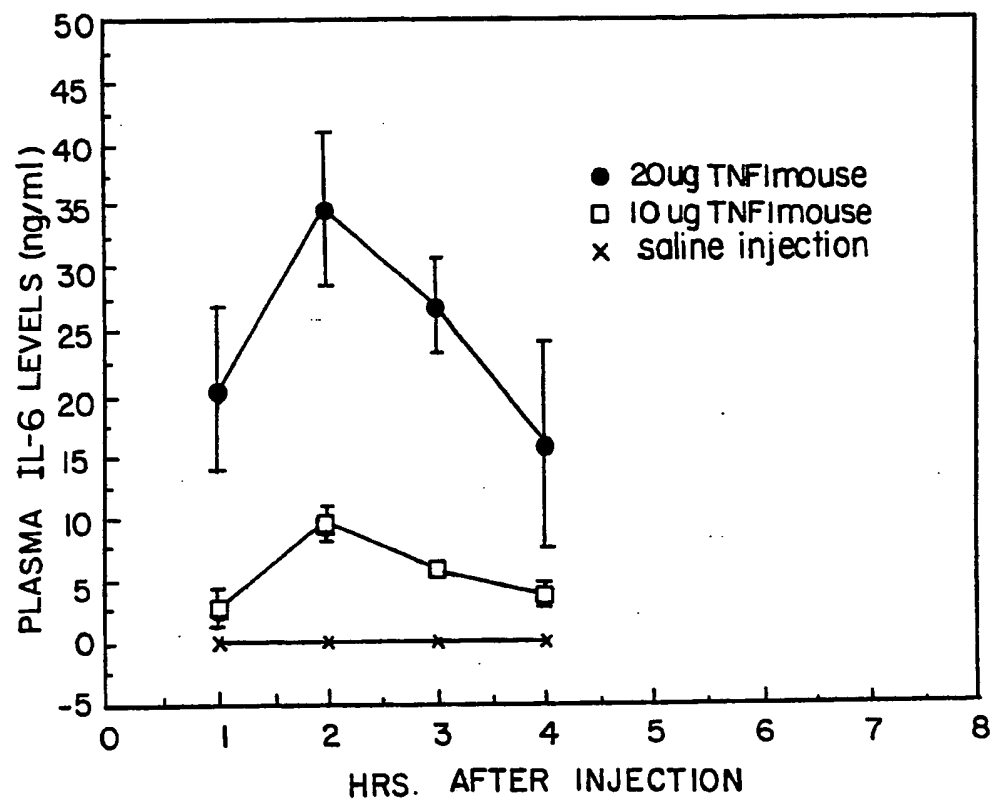


FIG. 12

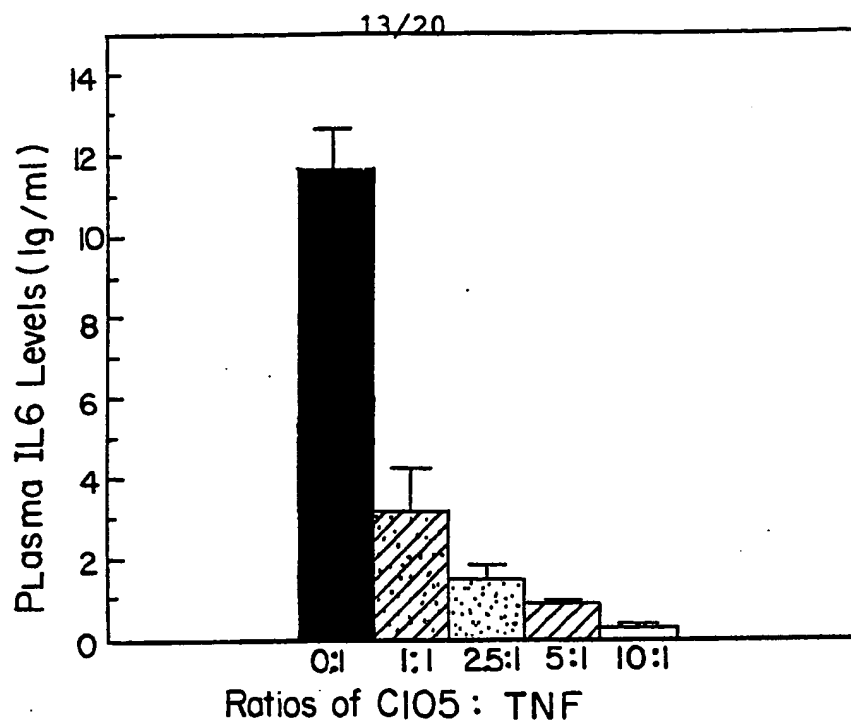


FIG.13 A

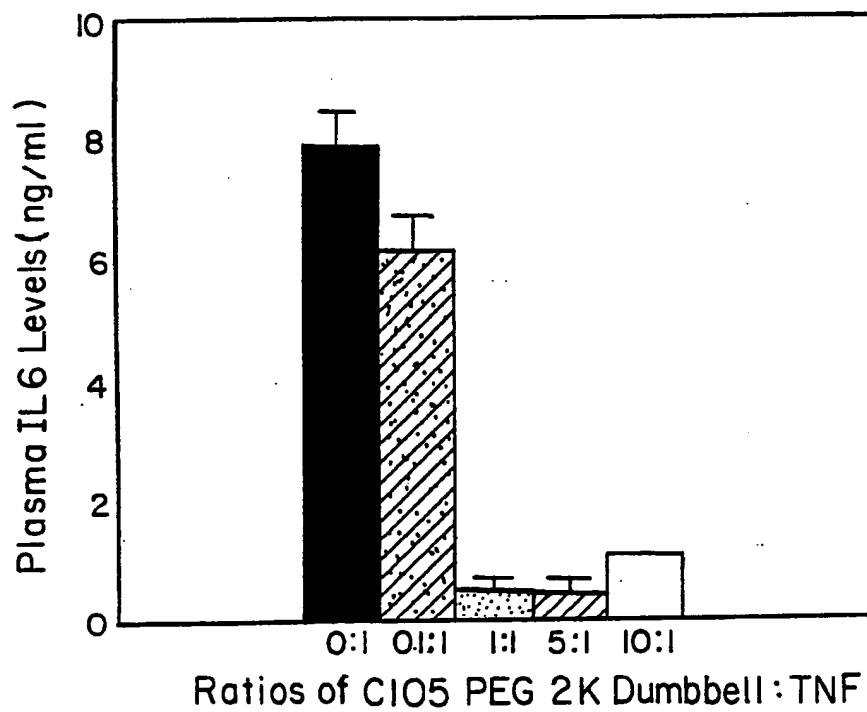


FIG.13 B

14/20

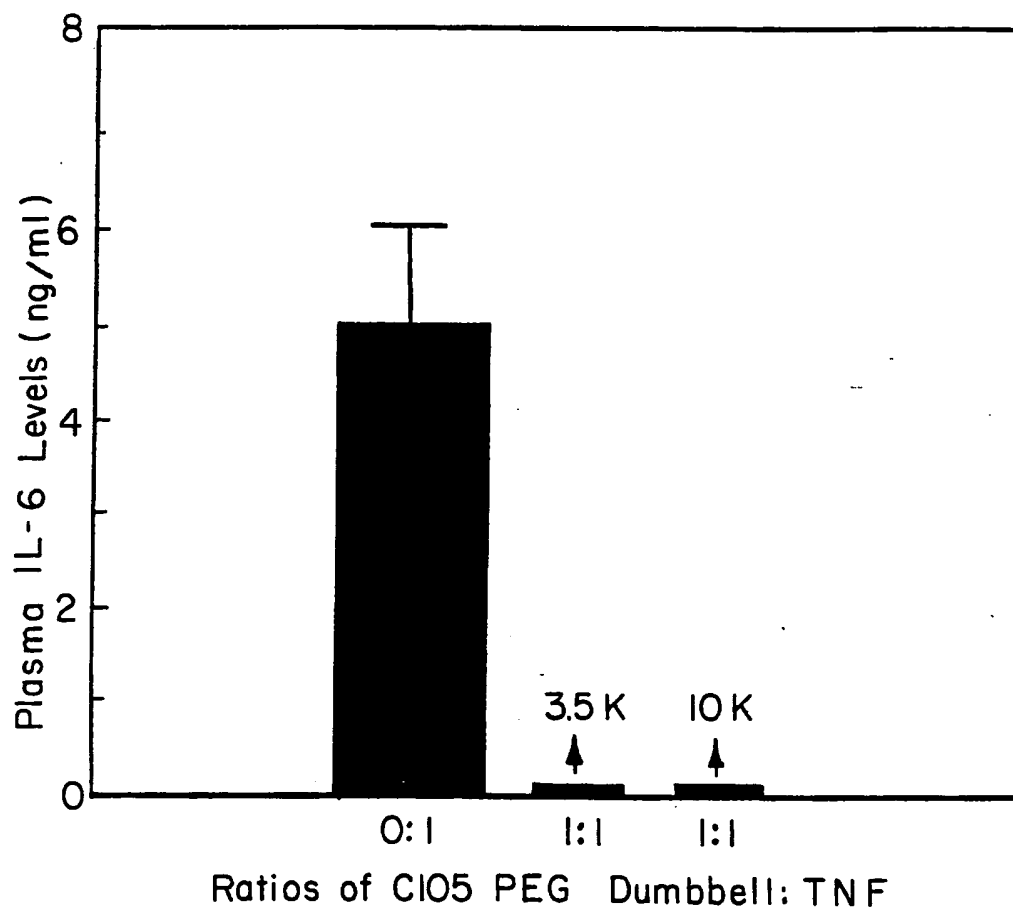


FIG.14

SUBSTITUTE SHEET

15/20

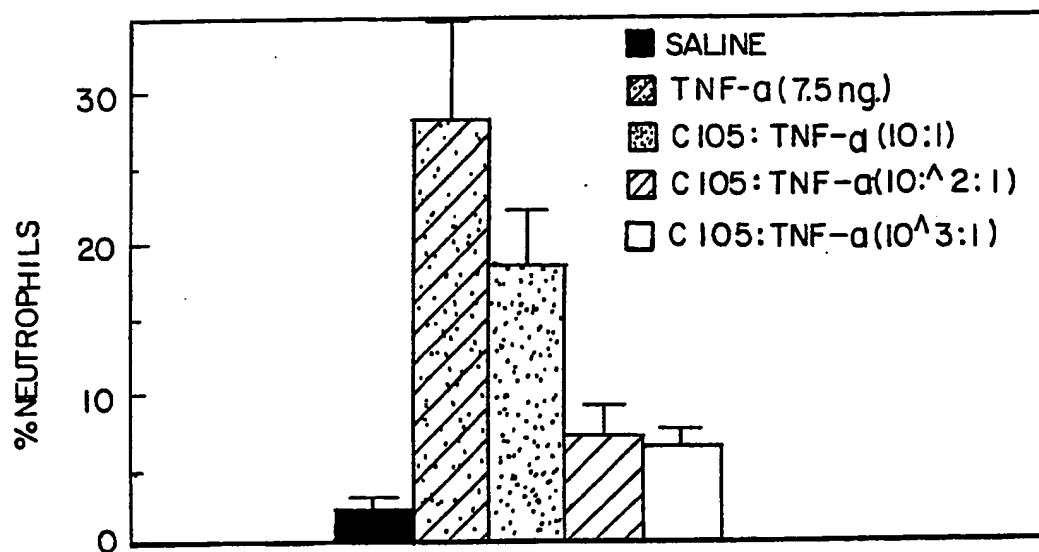


FIG 15A

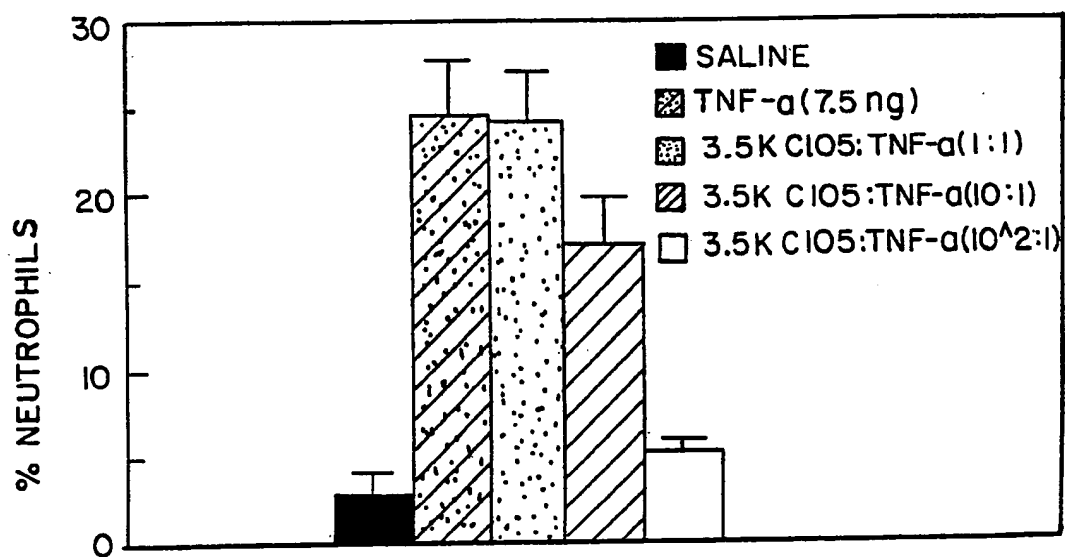


FIG. 15B

16/20

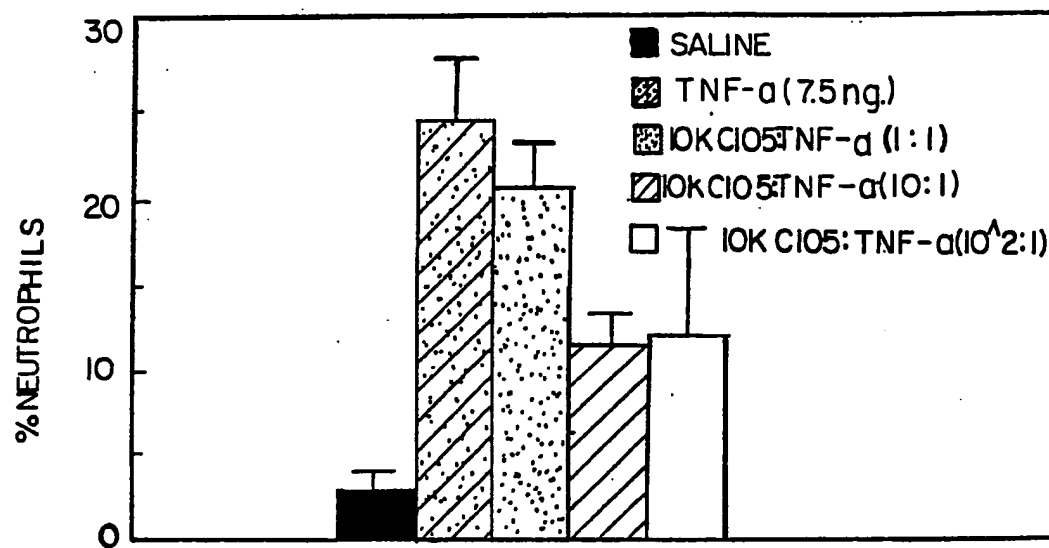


FIG 15C

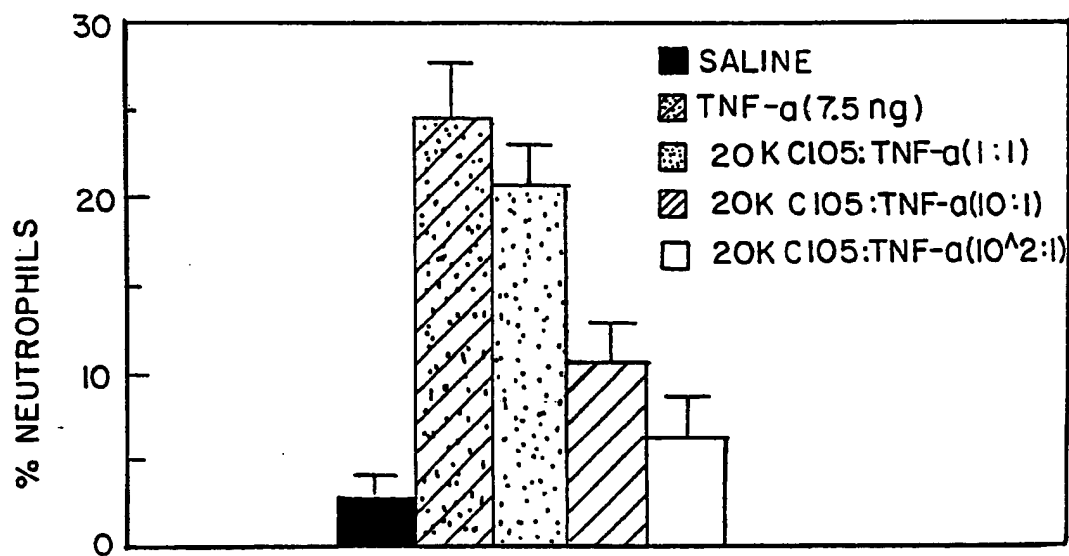


FIG.15D

17/20

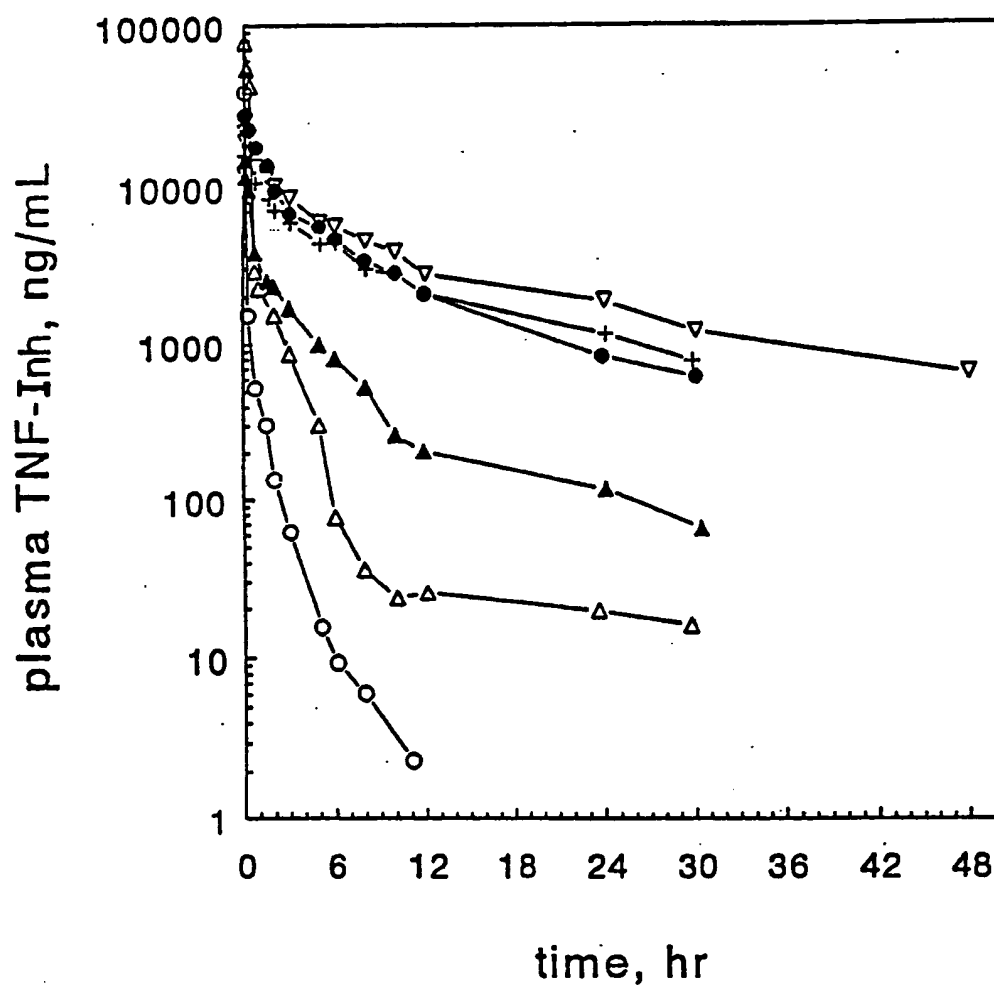


FIG. 16

SUBSTITUTE SHEET

18/20

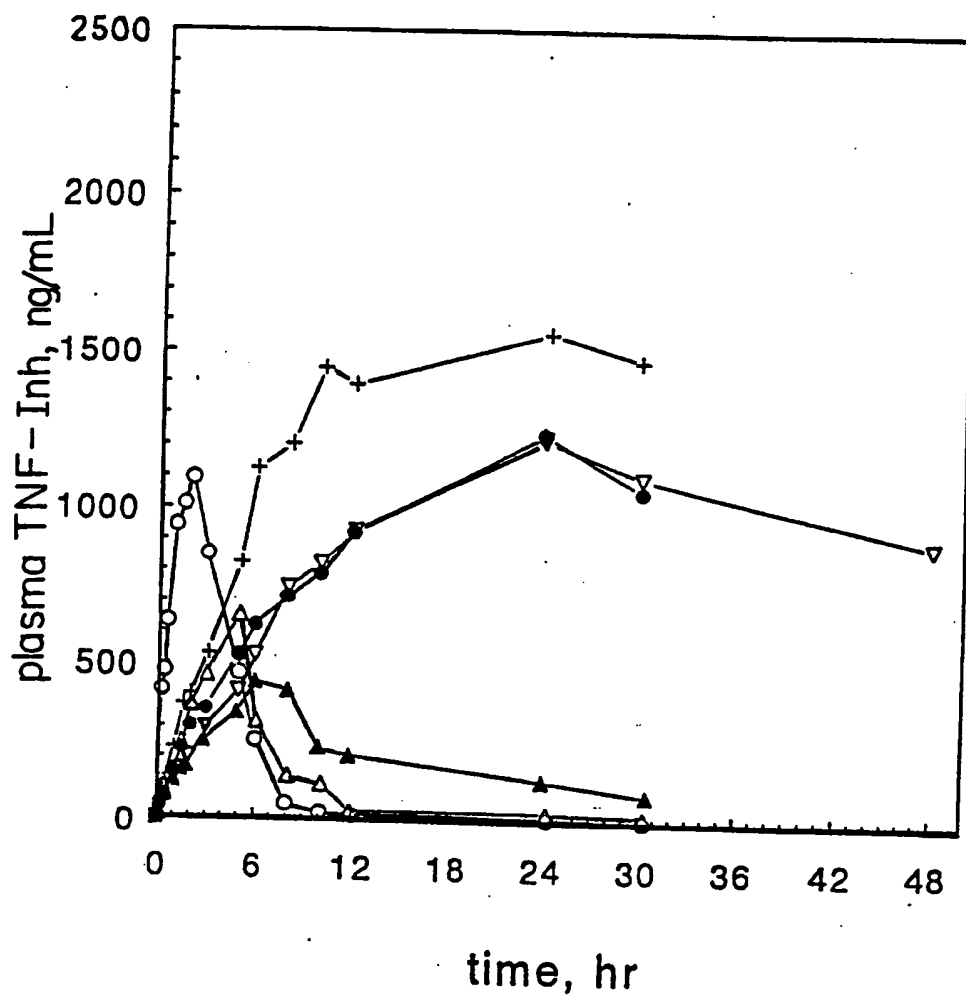


FIG.17

19/20

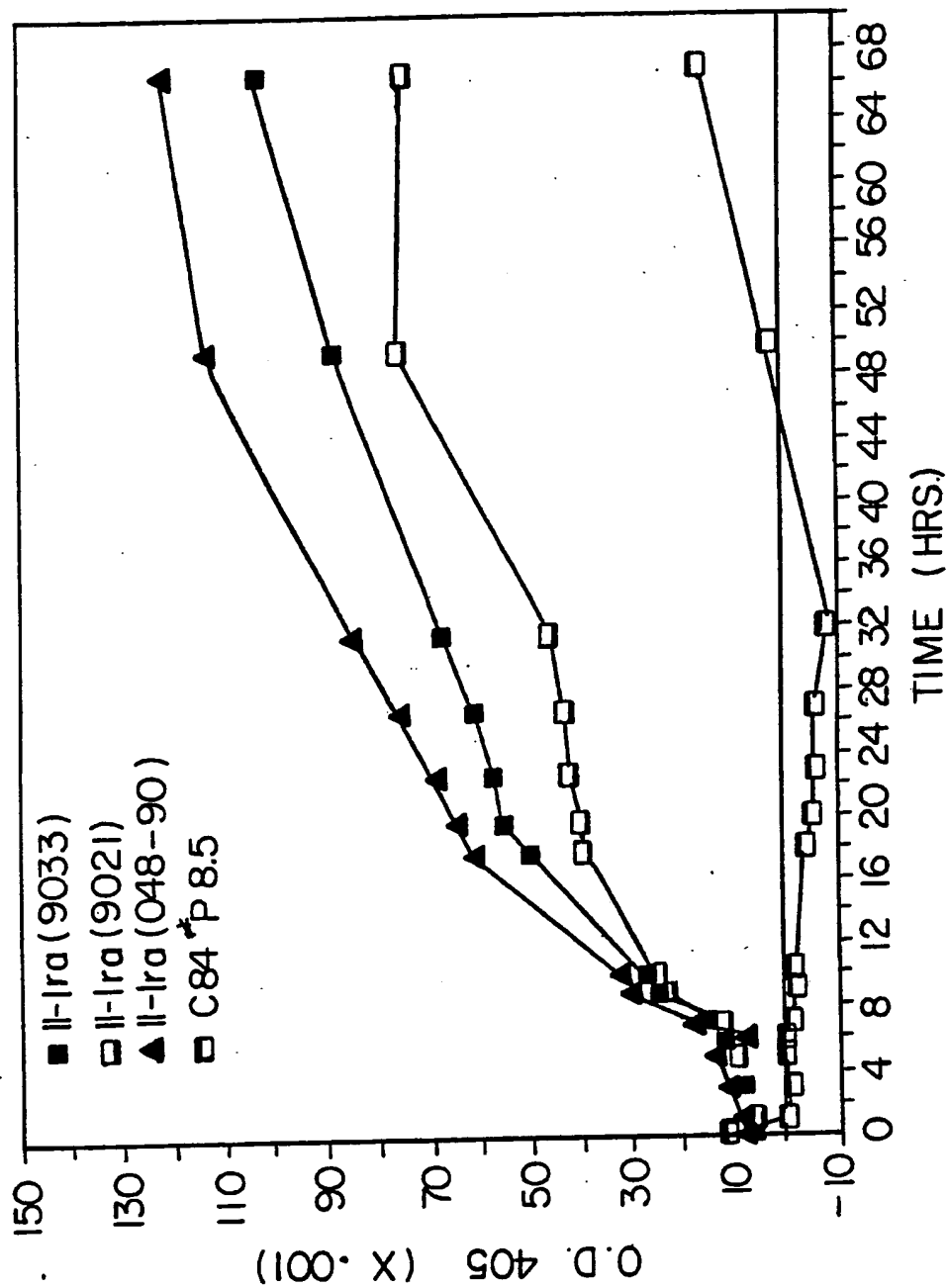


FIG.18

SUBSTITUTE SHEET



20/20

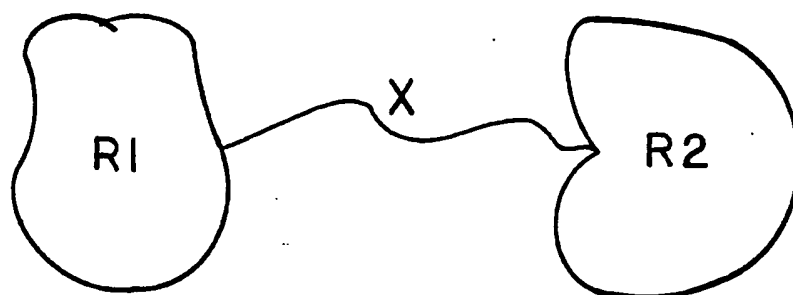


FIG.19

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/02122

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): A51K 37/00; C07K 3/00, 13/00, 15/00 US CL : 514/12; 435/69.7, 240.2; 530/410, 395, 399																							
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border: 1px solid black; padding: 2px;">Minimum Documentation Searched<sup>4</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%; border: 1px solid black; padding: 2px;">Classification System</th> <th style="border: 1px solid black; padding: 2px;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; text-align: center; padding: 5px;">U.S.</td> <td style="border: 1px solid black; padding: 5px;">514/12; 435/69.7, 240.2; 530/410, 395, 399</td> </tr> </table> <div style="text-align: center; border: 1px solid black; padding: 2px;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched<sup>5</sup></div>			Classification System	Classification Symbols	U.S.	514/12; 435/69.7, 240.2; 530/410, 395, 399																	
Classification System	Classification Symbols																						
U.S.	514/12; 435/69.7, 240.2; 530/410, 395, 399																						
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; padding: 2px;">Category*</th> <th style="padding: 2px;">Citation of Document,<sup>16</sup> with indication, where appropriate, of the relevant passages<sup>17</sup></th> <th style="width: 10%; padding: 2px;">Relevant to Claim No. <sup>18</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">US, A, 4,578,335 (Urdal et al.) 25 March 1986, see entire document</td> <td style="text-align: center; vertical-align: top; padding: 2px;">4, 5, 12 - 14, 17, 18, 26, 28 - 31, 35, 39</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">US, A, 4,789,658 (Yoshimoto et al.) 06 December 1988, see entire document</td> <td style="text-align: center; vertical-align: top; padding: 2px;">4, 17</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">Cell, Vol. 61, issued 20 April 1990, Schall et al., "Molecular cloning and expression of a receptor for human tumor necrosis factor", pages 361-370, see entire document.</td> <td style="text-align: center; vertical-align: top; padding: 2px;">26, 29</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">Proceedings of the National Academy of Sciences, Vol. 87, Issued October 1990, Gray et al., "Cloning of human tumor necrosis factor (TNF) receptor cDNA and expression of recombinant soluble TNF-binding protein", pages 7380-7384, see entire document.</td> <td style="text-align: center; vertical-align: top; padding: 2px;">26, 28, 29</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">E. Goldberg et al., "BIOMEDICAL POLYMERS: POLYMERIC MATERIALS AND PHARMACEUTICALS FOR BIOMEDICAL USE", published 1980 by Academic Press (N.Y.), see pages 441-452.</td> <td style="text-align: center; vertical-align: top; padding: 2px;">1-57</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 2px;">Y</td> <td style="padding: 2px;">US, A, 4,847,325 (Shadle et al) 11 July 1989, see entire document.</td> <td style="text-align: center; vertical-align: top; padding: 2px;">1-57</td> </tr> </tbody> </table>			Category*	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>	Y	US, A, 4,578,335 (Urdal et al.) 25 March 1986, see entire document	4, 5, 12 - 14, 17, 18, 26, 28 - 31, 35, 39	Y	US, A, 4,789,658 (Yoshimoto et al.) 06 December 1988, see entire document	4, 17	Y	Cell, Vol. 61, issued 20 April 1990, Schall et al., "Molecular cloning and expression of a receptor for human tumor necrosis factor", pages 361-370, see entire document.	26, 29	Y	Proceedings of the National Academy of Sciences, Vol. 87, Issued October 1990, Gray et al., "Cloning of human tumor necrosis factor (TNF) receptor cDNA and expression of recombinant soluble TNF-binding protein", pages 7380-7384, see entire document.	26, 28, 29	Y	E. Goldberg et al., "BIOMEDICAL POLYMERS: POLYMERIC MATERIALS AND PHARMACEUTICALS FOR BIOMEDICAL USE", published 1980 by Academic Press (N.Y.), see pages 441-452.	1-57	Y	US, A, 4,847,325 (Shadle et al) 11 July 1989, see entire document.	1-57
Category*	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>																					
Y	US, A, 4,578,335 (Urdal et al.) 25 March 1986, see entire document	4, 5, 12 - 14, 17, 18, 26, 28 - 31, 35, 39																					
Y	US, A, 4,789,658 (Yoshimoto et al.) 06 December 1988, see entire document	4, 17																					
Y	Cell, Vol. 61, issued 20 April 1990, Schall et al., "Molecular cloning and expression of a receptor for human tumor necrosis factor", pages 361-370, see entire document.	26, 29																					
Y	Proceedings of the National Academy of Sciences, Vol. 87, Issued October 1990, Gray et al., "Cloning of human tumor necrosis factor (TNF) receptor cDNA and expression of recombinant soluble TNF-binding protein", pages 7380-7384, see entire document.	26, 28, 29																					
Y	E. Goldberg et al., "BIOMEDICAL POLYMERS: POLYMERIC MATERIALS AND PHARMACEUTICALS FOR BIOMEDICAL USE", published 1980 by Academic Press (N.Y.), see pages 441-452.	1-57																					
Y	US, A, 4,847,325 (Shadle et al) 11 July 1989, see entire document.	1-57																					
<sup>*</sup> Special categories of cited documents: <sup>16</sup> <sup>"A"</sup> document defining the general state of the art which is not considered to be of particular relevance <sup>"E"</sup> earlier document but published on or after the international filing date <sup>"L"</sup> document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) <sup>"O"</sup> document referring to an oral disclosure, use, exhibition or other means <sup>"P"</sup> document published prior to the international filing date but later than the priority date claimed		<sup>"T"</sup> later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention <sup>"X"</sup> document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step <sup>"Y"</sup> document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art <sup>"Z"</sup> document member of the same patent family																					
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search<sup>2</sup>   <div style="text-align: center; font-weight: bold;">02 June 1992</div> </td> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of Mailing of this International Search Report<sup>2</sup>   <div style="text-align: center; font-weight: bold; font-size: 1.2em;">18 JUN 1992</div> </td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">           International Searching Authority<sup>1</sup>   <div style="text-align: center; font-weight: bold;">ISA/US</div> </td> <td style="border: 1px solid black; padding: 5px;">           Signature of Authorized Officer<sup>20</sup>  <div style="text-align: center;">              LORRAINE M. SPECTOR, PH.D.           </div> </td> </tr> </table>			Date of the Actual Completion of the International Search <sup>2</sup>  <div style="text-align: center; font-weight: bold;">02 June 1992</div>	Date of Mailing of this International Search Report <sup>2</sup>  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">18 JUN 1992</div>	International Searching Authority <sup>1</sup>  <div style="text-align: center; font-weight: bold;">ISA/US</div>	Signature of Authorized Officer <sup>20</sup> <div style="text-align: center;">              LORRAINE M. SPECTOR, PH.D.           </div>																	
Date of the Actual Completion of the International Search <sup>2</sup>  <div style="text-align: center; font-weight: bold;">02 June 1992</div>	Date of Mailing of this International Search Report <sup>2</sup>  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">18 JUN 1992</div>																						
International Searching Authority <sup>1</sup>  <div style="text-align: center; font-weight: bold;">ISA/US</div>	Signature of Authorized Officer <sup>20</sup> <div style="text-align: center;">              LORRAINE M. SPECTOR, PH.D.           </div>																						

## FURTHER INFORMATION C NTINUED FROM THE SECOND SHEET

Y	US, A, 4,766,106 (Katr et al) 23 August 1988, see entire document especially abstract, col. 1, col. 3, lines 57-62, col 4.	1-57
Y	US, A, 4,935,233 (Bell et al.) 19 June 1990, see entire document.	1-57

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

1. ☐ Claim numbers , because they relate to subject matter (1) not required to be searched by this Authority, namely:
  
2. ☐ Claim numbers , because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out (1), specifically:
  
3. ☐ Claim numbers , because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☒ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This international Searching Authority found multiple inventions in this international application as follows:  
Please See Attached Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:  
1-57 (Telephone Practice) (Telephone Practice)
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the international Search Authority did not invite payment of any additional fee.

Remark on protest

- ☐ The additional search fees were accompanied by applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PREVIOUS SHEETS**

**VI. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**

This ISA found multiple inventions as follows:

I. Claims 1-57, drawn to pegylated proteins, method of making same and use thereof, Class 514, subclass 12, Class 435 subclasses 69.7 and 240.2, and Class 530, subclasses 410, 395 and 399.

II. Claim 58, drawn to a method of making muteins, Class 435, subclasses 172.1 and 71.2, and Class 530, subclass 402.

The claims of groups I and II are drawn to distinct methods and have a separate status in the art as shown by their different classification. Group I is drawn to the chemical linkage of proteins, whereas Group II is drawn to site-directed mutagenesis for the production of muteins. PCT Rules 13.1 and 13.2 do not provide for multiple distinct methods within a single general inventive concept.